

Agenda

Item #9



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commissioners

From: Jonathan Wayne, Executive Director

Date: March 21, 2010

Re: Request by Joseph and Michele Greenier

After gathering preliminary factual information, the staff of the Ethics Commission recommends taking no action on the request by Joseph and Michele Greenier for an investigation of Roger Katz's 2010 campaign.

Campaign's Debt to Modern Screen Print

Roger Katz ran for the first time for State Senate in 2010 as a Maine Clean Election Act (MCEA) candidate. Around November 8 or 9, 2010, he called the Commission office to explain that his 2010 campaign had less than \$100 of MCEA funds remaining and owed a debt to a vendor, Modern Screen Print in Bangor, for campaign signs. The Commission staff continues to believe that Mr. Katz showed good faith in coming forward to the Commission with the problem. Candidate Registrar Gavin O'Brien advised him to pay the bill with personal funds and to write a letter to the Commission explaining the circumstances.

Mr. Katz explained in a follow-up letter dated November 11, 2010 that:

- a volunteer had made a second purchase of signs from Modern Screen Print (MSP).
- Mr. Katz presumed that the campaign would receive a bill, but none arrived. (The campaign's mailing address was the candidate's residence in Augusta.)
- The candidate failed to notice the absence of the bill.
- On November 5, 2010, Mr. Katz received a call from the volunteer stating that MSP was calling to request payment.

- Mr. Katz checked his files, but there was no record of receiving the invoice.
- On Monday, November 8, 2010, Mr. Katz received what he believed to be a copy of the original bill dated “9/23/2010,” which was enclosed with his letter.
- A representative of MSP told Mr. Katz that this invoice was mailed to his home address in September 2010, but Mr. Katz believes that he did not receive it.
- Paying the bill with personal funds (as he was advised by Commission staff) had resulted in spending more than he was permitted as an MCEA candidate.
- The event was an innocent mistake on the part of the candidate, but it should never have happened and he was entirely responsible for it.

At your January 27, 2010 meeting, you found that the Katz campaign spent more than was permitted under the MCEA, and assessed a civil penalty of \$50. When public comment was invited at the meeting, Mr. Greenier argued for a higher penalty.

Submissions by Joseph and Michele Greenier

Since the meeting, Mr. Greenier has conducted his own private investigation because he was convinced that Roger Katz did not present the full facts. On February 10, 2010, the Greeniers requested an investigation through the attached handwritten letter. They have made a total of five submissions to the Commission, which we received on February 10, February 14, February 17, March 10, and March 11. Most of the submissions have been by facsimile. They are attached for your consideration. In addition, I have attached two letters I wrote to the Greeniers on February 11 and March 2, urging the Greeniers to specify, in writing, the violations which they believe occurred and to make a final submission of materials no later than March 10 so that I could schedule this matter for your March 31 meeting.

Information Received by Commission Staff in Interviews

Under the Commission Rules, when a request for investigation is received by the Commission, the staff may engage in preliminary fact-gathering to assist the Commission in deciding whether to conduct an investigation. (Chapter 1, Section 5(1)) I conducted four telephone interviews:

- On Friday, February 18, I spoke with Roger Katz
- On Tuesday, February 22, I spoke with Buddy Doyle, the volunteer and friend of the candidate who purchased the signs from MSP, and
- Directly after, I spoke with Tim McLeod, the proprietor of MSP, and his wife, Kathy, whom I believe performs the bookkeeping for the shop.

All four witnesses were cooperative and I found their responses to be credible and consistent with each other. I also had a follow-up conversation with Roger Katz on Thursday, March 17 about the scheduling of this matter. I have summarized the key points below.

In his interview, Roger Katz told me the following: his friend Buddy Doyle volunteered for the campaign in various capacities, including by designing campaign literature and signs. Mr. Doyle then made a few purchases for the campaign, including all signs and some other printed materials. He always passed the invoices on to the campaign to pay. There was never any intention by the campaign that Mr. Doyle would pay for any expenses himself. MSP is active in printing campaign signs, and Mr. Doyle's relationship with the company was purely professional. My overall impression at the conclusion of the interview was that Sen. Katz had entrusted Mr. Doyle with selecting the print shop for the signs, and left the choice of vendors to Mr. Doyle.

In his interview, Buddy Doyle told me that before 2010, he had volunteered for three campaigns in Gardiner and Augusta: Brian Rines, Andy MacLean, and Roger Katz's municipal campaign. In those three campaigns, he designed printed materials for the candidates as a volunteer and purchased the materials from MSP.

When he purchased signs for Roger Katz's 2010 campaign, Mr. Doyle said that he dealt with MSP's owner, Tim McLeod. Mr. Doyle said that MSP is known for doing political signs and that Mr. McLeod "is pleasant to work with." He described himself as "not a big customer." In recent years, he has made three purchases for political campaigns, and a couple of purchases from MSP for a business of his, Kayak Concepts. He said that he has a cordial relationship with Tim McLeod, but "does not know him that well."

Mr. Doyle explained that he had made a purchase of signs for the campaign from MSP, but MSP did not send a bill to the campaign. He said that he “always intended” that invoices would go directly to Roger Katz’s home. He described how Roger Katz watched his campaign’s cash balance “very carefully,” and that the candidate was “so concerned about doing everything right.”

When I asked Buddy Doyle about the price of the signs, he replied that he expected from past experience that MSP would charge a standard price that would be competitive. He explained that he was looking for “nice-looking signs” and “good service,” but he was not out for the cheapest price.

When I asked Mr. Doyle about how the price was determined, Mr. Doyle said that Tim McLeod offered a rate for the signs, and he accepted the rate. They did not negotiate the price. When I asked whether he had received a discount, he said that he believed he paid “the standard price” and that he knew of no reason why he would have received a discount, unless he received a better price for buying a larger quantity. He later said more definitively that he “didn’t receive a discount.” When I asked whether he received MSP’s wholesale price, he said no. He said he “paid the same price as other customers.”

During the interview, I found Mr. Doyle’s responses about not receiving a discount or a wholesale rate to be genuine (even though he was mistaken about receiving the wholesale price). I sensed no calculation or protectiveness in his responses, and I doubt he understood that my questions were directed at whether the campaign had received an in-kind contribution.

I pointed out to Mr. Doyle that the September 23 invoice indicated that the campaign had not been charged sales tax. Mr. Doyle said that he did not know why MSP did not charge sales tax. He said he and Tim McLeod did not talk about sales tax. He knew that MSP does work for lots of campaigns. He speculated that there may be no sales tax on campaign purchases.

Mr. Doyle said that he knew all purchases he made on behalf of the campaign had to be made with campaign funds. He said that he had no intention that anyone other than the campaign would pay for signs or any other goods.

I then telephoned MSP. I spoke initially to Kathy McLeod, because her husband was busy. She explained that her husband had charged the wholesale rate for the particular products purchased, and that involved not charging sales tax. After concluding with Kathy, I asked to speak to Tim McLeod, because Buddy Doyle made the purchase directly from Mr. McLeod.

Both McLeods told me that most of the political signs sold by MSP are priced at a wholesale rate. Mr. McLeod estimated to me that MSP sells 60% - 75% of political signs at the wholesale rate. The purchasers include print shops, marketing or advertising firms, and graphic designers. (Mrs. McLeod independently provided me with a similar percentage.) If I understood him correctly, Mr. McLeod said that MSP is the only printing company in the Bangor area that does silk screen printing in-house.

For example, if a customer comes to a retail store such as the Bangor Letter Shop or White Sign in Stillwater, those shops will have MSP print the signs and will pay MSP the wholesale rate. Or, if a graphic designer or advertising firm is working for a client that wishes to buy signs, MSP will sell the signs to the graphic designer or advertising firm at a wholesale rate. Mr. McLeod presumes that the designer or advertising firm may charge the client a small mark-up, but he typically does not have any reason to ask how much.

Tim McLeod said that in the past five or six years, Buddy Doyle had designed signs for a few political campaigns and that Doyle had bought the signs from MSP. So, when Mr. Doyle approached him last year, Mr. McLeod thought of Buddy Doyle as a graphic designer. He gave Mr. Doyle the wholesale rate, which he had done for Mr. Doyle's previous purchases of political signs. He said that he cannot remember any instance in which he did not give a graphic designer the wholesale price.

I asked Mr. McLeod twice whether, in his conversations with Mr. Doyle, did they discuss that Mr. Doyle was receiving the wholesale rate. Mr. McLeod seemed sure that he and Buddy Doyle did not discuss that the sale was at the wholesale rate. He said that it may have been discussed in Doyle's first purchase five or six years ago.

Mr. McLeod said that it "seemed like a normal purchase." Kathy McLeod also told me that the sale was "very typical" and "a normal purchase." She said she knew of "nothing out of the ordinary" concerning the purchase.

Before I terminated the conversation with Kathy McLeod, I asked her what the unit price for the signs would have been, had the campaign been charged the retail price. My quick calculation during the course of the interview was that the campaign would have paid \$250 more for the signs and the metal frames, had the campaign been charged retail price. That was a rough estimate that I performed in my head while asking questions. Further research would be needed if you believe the exact amount is relevant.

The Greeniers' Allegations

Failure to Disclose

The Greeniers seem to believe that Buddy Doyle or Roger Katz had some intention that Buddy Doyle would pay for the signs personally or through some source of funds other than the campaign. As far as I can tell, this is no merit to this allegation.

Around November 8 or 9, Roger Katz telephoned the Commission staff to explain that his campaign owed a debt to MSP, and that the campaign only had around \$100 in Maine Clean Election Act funds remaining. If the campaign had any intention of concealing the expenditure or of paying it with Buddy Doyle's personal funds, it is difficult to see why Sen. Katz called our office. The Greeniers' theory seems to be directly contradicted by the candidate's actions. In addition, Buddy Doyle said to me credibly that he always

understood that the campaign had to pay for all expenses, and that he always intended for the MSP invoice to be sent to the candidate's home address.

In-Kind Contribution

The campaign finance law defines the term "contribution" as:

A gift, subscription, loan, advance or deposit of money or anything of value made for the purpose of influencing the nomination or election of any person to state, county or municipal office

21-A M.R.S.A. § 1012(2)(A)(1) (emphasis added) The Commission's regulations contain a similar definition of the term "in-kind contribution," as well as a rule clarifying that a campaign's receipt of goods and services at a discount constitutes an in-kind contribution:

In-Kind Contribution. "In-kind contribution" means any gift, subscription, loan, advance or deposit of anything of value other than money made for the purpose of influencing the nomination or election of any person to political office or for the initiation, support or defeat of a ballot question.

Unless specifically exempted under Title 21-A M.R.S.A. §§ 1012 and 1052 or this section, the provision of any goods or services without charge or at a charge that is less than the usual and customary charge for such goods or services is an in-kind contribution. Examples of such goods and services include, but are not limited to: equipment, facilities, supplies, personnel, advertising, and campaign literature. If goods or services are provided at less than the usual and customary charge, the amount of the in-kind contribution is the difference between the usual and customary charge and the amount charged the candidate or political committee.

Chapter 1, §§ 1(12) and 6(4).

For your information, the Commission staff has proposed some amendments to the discount rule to clarify that if a vendor gives a discount to a candidate because the vendor has delivered a defective product or for some other customary business reason, the vendor has not made an in-kind contribution to the candidate.

The Commission staff does not believe that the Katz campaign has received an in-kind contribution from MSP, for the following reasons:

- One of the elements of the statutory and regulatory definitions of “contribution” is that the donor has provided money or a thing of value to the candidate “for the purpose of influencing” an election. In this instance, Mr. McLeod had no purpose to promote Roger Katz’s campaign. Rather, he charged the wholesale rate based on his normal business practices. He viewed Buddy Doyle as a graphic designer, and he charged the rate that is standard for graphic designers.
- The rate that Tim McLeod offered to Buddy Doyle is the rate most commonly charged by MSP for political signs. Mr. McLeod estimated that MSP charges a wholesale rate 60% - 75% when selling political signs.
- I am convinced that Buddy Doyle had no knowledge that he received the wholesale rate. He seemed earnestly surprised when I asked the question. He wanted a competitive price, but had no intention of seeking a discount. Tim McLeod corroborated that the issue of a wholesale rate did not come up in his 2010 conversation with Buddy Doyle. Roger Katz was remote from the sale and was completely unaware that the campaign had paid a wholesale rate. It seems inappropriate to find that the campaign received an in-kind contribution, when the campaign had no knowledge that it had paid a lower rate.

My overall impression was that Mr. McLeod did not ask a lot of questions of Buddy Doyle concerning who was the actual purchaser of the signs. One may fault Mr. McLeod for not charging sales tax, because he failed to understand that Doyle was purchasing the signs as an agent of the campaign. Nevertheless, I view that as a good-faith mistake by Tim McLeod that should not be attributed to Buddy Doyle or Roger Katz.

Staff Recommendation

For the reasons explained above, the Commission staff recommends taking no further action on this matter. The Greeniers have presented no evidence that the Katz campaign intended to conceal this expenditure or intended that Buddy Doyle would pay for the signs

from his personal funds. Those allegations amount to speculation, and are directly contradicted by the fact that Roger Katz came forward, on his own accord, to notify the Commission that the campaign owed a debt to Modern Screen Print.

The staff believes that the campaign did not receive an in-kind contribution because there was no purpose by Modern Screen Print to promote Sen. Katz's election. The company charged the price that it believed was appropriate. Given that Mr. Doyle was acting as an agent for a campaign, Mr. McLeod's classification of the sale as a wholesale transaction may not have been consistent with state tax policies, but that is beyond the jurisdiction of the Ethics Commission.

In the letter received on March 10, the Greeniers object to your consideration of this matter at your March 31 meeting. The Greeniers first wrote to me concerning this issue on February 10, 2011. They have undertaken a considerable private investigation, and made five written submissions to the Commission. Your March 31 meeting is scheduled on the 49th day after the Greeniers' first letter. They have had ample time (seven weeks) to articulate and support their concerns. The staff recommends finally resolving this matter at your March 31 meeting.

The Commission has occasionally experienced complainants who attempt to bring matters back to the Commission that have already been decided, by asking for reconsideration or through other requests. The Commission has no rule or established policy concerning reconsideration. If you agree that the Commission has given this matter adequate consideration, you may wish to provide me with direction concerning whether you are open to reconsideration of this matter at future meetings.

Other Allegations by the Greeniers concerning the Commission

The Greeniers have requested documents concerning this matter and Roger Katz's overspending under the Freedom of Access Act. The Commission staff has provided all requested records, and has not withheld any documents.

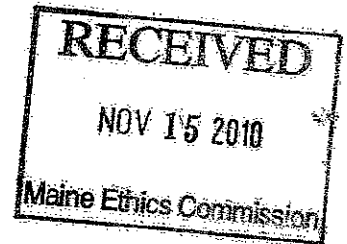
Roger Katz is the law partner of the Commission's chair, Walter F. McKee. I have not communicated with the chair concerning this matter, other than by providing the publicly accessible packets of materials for the Commission meetings.

In their March 10 letter, the Greeniers state that I have threatened them in my letters. My letters are attached. All oral and written communications with the Greeniers have been professional. I have tried to facilitate a complaint process that complies with the Commission's regulations by requiring a specific and factually-based complaint, that is procedurally fair to the respondent (Roger Katz), and that can be concluded in a timely way -- within seven weeks of receiving the Greeniers' first letter.

Thank you for your consideration of this memorandum.



Katz for Senate Committee
3 Westview St. Augusta, ME 04330
www.katzsenate.com



November 11, 2010

Jonathan Wayne, Executive Director
Commission on Governmental Ethics
& Election Practices
135 State House Station
Augusta, ME 04333-0135

Dear Mr. Wayne:

Following my conversations earlier this week with Gavin O'Brien, I am writing to you to explain an error which I made with respect to my campaign finances.

Early in my campaign, back in June, I purchased campaign lawn signs from Modern Screen Print of Bangor.

In early September, I decided to purchase additional lawn signs from the same vendor. The order was placed orally to Modern Screen Print by one of my volunteers. I assumed that I would shortly be receiving a bill from the vendor, which I intended to immediately pay. Unfortunately, no bill arrived, and I failed to notice its absence.

In mid-October, I took a look at the balance in my campaign account and made decisions on spending my remaining available funds. Having received no invoice from Modern Screen Print, it did not register with me that a debt was still "out there" and proceeded to spend most of my remaining campaign funds.

Last Friday, November 5, I got a call from my volunteer indicating that a representative of Modern Screen Print was calling and inquiring about the bill for the second set of signs. I was taken aback, assuming that I had received the bill and paid it back in September. However, a check of my records indicated that that simply had not happened. On Monday, November 8, 2010, I received a copy of what I believe was the original bill from Modern Screen Print, a copy of which is attached hereto as "Exhibit A". A representative of the vendor has told me that their records indicate this invoice had been mailed to me back in September to the same address. Again, I do not believe that the bill was actually received.

November 11, 2010
Jonathan Wayne, Executive Director
Page 2

Having now received the Modern Screen Print bill, this places me approximately \$1,000 over my spending limit.

I respectfully tell you that this was an innocent mistake on my behalf; having said that, it was a mistake which should not have happened and the error is entirely my own personal responsibility. I deeply regret that events transpired in this manner and that the "second" purchase of signs went unaccounted for in my own mind until Modern Screen Print made inquiries following the election.

On direction of Mr. O'Brien, I will be paying the Modern Screen Print bill as follows:

- A portion representing the remaining campaign funds I have, and
- The balance to be paid by me personally.

I would be happy to provide any information or answer any additional questions you might have.

Sincerely,



Roger J. Katz

rkatz@lipmankatzmckee.com

RJK/cam
Enclosure

PS: If this matter is to be scheduled before the Commission, I respectfully request that it be scheduled on the December agenda, as I have a mandatory all day Orientation Session for new legislators on November 30, 2010. Thank you.



MODERN SCREENPRINT

69 Hillside Ave., Bangor, Me. 04401 • 945-6284



Invoice

DATE	INVOICE NO.
9/23/2010	69627

SOLD TO
2010 Katz for Senate 3 Westview St. Augusta, ME 04330 Bill Browne, Treasurer

SHIP TO

CUSTOMER P.O. NO.	TERMS	SHIP VIA	JOB NUMBER
	Due on receipt	Pick-up	33413

QTY	DESCRIPTION	UNIT PRICE	AMOUNT
500	28" x 22" FOLDOVER SIGNS SCORED TO 14" x 22" 2	1.69	845.00
	COLOR IMPRINT		
500	METAL SIGN FRAMES	0.80	400.00
		Subtotal	\$1,245.00
Sales Tax is charged until a valid Maine Resale Certificate is received.		Sales Tax (0.00)	\$0.00
		Pmts.	\$0.00
Fax Number	E-mail	Web Site	TOTAL
207-945-6328	modernscreen@prodigy.net	modernscreenprint.com	\$1,245.00

All Orders Subject To A 10% Overrun or Underrun - Industry Allowance.

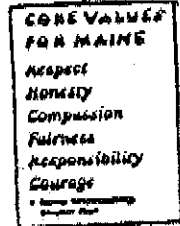
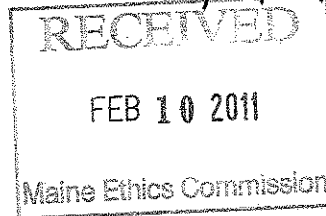
A 1.5% Service Charge Per Month Will Be Added On All Balances Remaining After 30 Days (18% A.P.R.)

Received By

By fax: 1-207-287-6775

104 Muskrat Rd.
Stockton Springs ME 04981
February 10, 2011.

State of Maine
Commission on Governmental Ethics
and Election Practices
135 State House Station
Augusta, ME 04333-0135



Dear Mr. Franklin Jonathan Wayne, Executive Director, and Gavin O'Brien,
This letter is to confirm that I informed Paul Lavin on February 4th and Gavin O'Brien on February 10th that we are filing a complaint with the Ethics Commission, regarding candidate Roger J. Katz and his campaign expenditures. From a preliminary investigation and asking some questions to Kathy at Modern Screenprint, she referred me to Buddy Doyle, who designed and ordered the signs from Modern Screenprint. If this commission asked questions of all those involved in the Katz's campaign, not just the candidate, this commission would have found far more serious violations of the Maine Clean Elections Act.

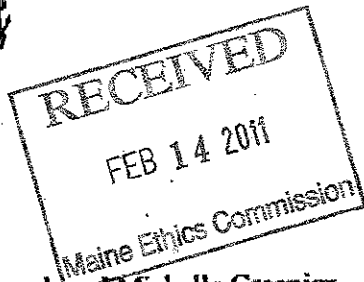
As Concerned Citizens, a candidate who ran for State Senate and for the people of Maine, we are filing a complaint with the Ethics Commission, in regards to the campaign of candidate Roger J. Katz, who qualified for Maine Clean Election Funds. It gives us no pleasure in filing this complaint. The taxpayers of Maine overwhelming support and finance clean elections. It's the taxpayers' money and it's the commissions job to make sure all candidates are in full compliance. When the commission finds violations of Clean Elections Act, they must penalize candidates fairly and honestly. The candidate and treasurer are jointly responsible for accurate campaign reporting, which we allege hasn't happened in this case. In this campaign, we respectfully object to the \$50.00 penalty, as it doesn't reflect the severity of the violations, especially those we found out thus far. We are respectfully requesting an investigation and audit of candidate Roger J. Katz's campaign, including campaign finance reports under the Freedom of Information Act (FOIA), to insure accurate reporting of expenditures under the Maine Clean Election Act, transparency in government and to insure the public trust of public funds.

We are here to get to the truth. What we have found out is that the whole truth has not been presented. In order to get to the truth this Commission must investigate what happened by asking questions to all those involved including but not limited to the treasurer, campaign manager, all volunteers, all vendors and Buddy Doyle, who designed, ordered and picked up the signs at Modern Screenprint. This must be investigated by the Commission, because it's their job to clear up the inconsistencies, regarding what we found out from his people and his vendor, Buddy Doyle. In candidate Katz's November 11, 2010 letter to Mr. Wayne he closed and says "I would be happy to provide any information or answer any additional questions you might have." It's time to put all those involved under oath in a public hearing, so the real truth will be exposed, so we can truly have "Clean Elections".

Concerned Citizens,
Joseph Greenier
Michelle Greenier

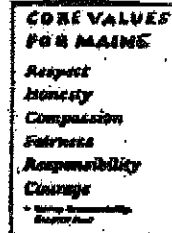


STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135



Joseph and Michelle Greenier
104 Muskrat Farm Road
Stockton Springs, ME 04981

February 11, 2011



RETURN TO SENDER:
NOT FACTUAL 2/14/11

We respectfully object
to the contents of this
letter. Please do a
Findings of the Facts
through candidate Katz,
Buddy Doyle and
Modern Screenprint, in
reference to Exhibit A.

Concerned Citizens,
Joseph Greenier
Michelle Greenier

Dear Mr. and Mrs. Greenier:

This is in response to your complaint against 2010 Senate candidate Roger J. Katz, which was faxed to our office today. The Commission staff understands that you are accusing the Katz campaign of "serious violations."

The complaint is missing two important elements:

- The complaint does not provide the Commission or Senator Katz with any idea of the violation which you believed occurred.
- The complaint does not provide the Commission or Senator Katz with any idea of the source of information or factual basis for believing that a violation occurred.

It is only fair that if you are filing a complaint against a candidate, you provide him or her with some idea of what the violation is and what is your basis for believing that there was a violation. This is also required by Chapter 1, Section 4(2)(C) of the Commission's Rules (attached). In the view of the Commission staff, the complaint contains "insufficient detail to specify the violation charged." Therefore, pursuant to Chapter 1, Section 4(2)(D), I am notifying you that your complaint does not meet the requirements for an official request for an investigation.

If you believe a violation occurred, please submit an amended complaint that specifies the law or requirement which you believe was violated and the basis for your belief. Then, the Commission staff will consider and recommend to the Commissioners whether there is any basis for an investigation. Thank you.

Sincerely,

Jonathan Wayne
Executive Director

cc: Hon. Roger J. Katz

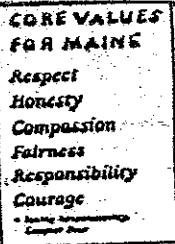
OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

By
FAX: (207) 287-6775

RECEIVED

FEB 17 2011

104 Muskrat Rd
Stockton Springs, ME 04981
February 16, 2011.

To: Ethics Commission

Maine Ethics Commission

As Concerned Citizens, we have more information regarding candidate Katz and his campaign, for the investigation and the audit. The source of our information is from Agenda Item #9, Exhibit A direct contact with Kathy at Modern Screenprint and a phone conversation with Buddy Doyle. We contend what we have here is a lawyer-candidate, that is telling a story. It's called hearsay. The candidate has refused to produce the name of the "campaign volunteer". It's all second or third hand information. We allege that the candidate and the Executive Director haven't checked the facts. What we have learned from Agenda Item #9, is that the lawyer-candidate is telling a story to the Commission, without specific details and many general dates, regarding an anonymous campaign volunteer. As you know, hearsay is not acceptable in a court of law. In essence, the candidate has told a hearsay story and the Commission has accepted it, without checking the facts and asking questions of those involved, including the treasurer, campaign manager and all of the volunteers.

The Commission has used hearsay, so they can penalize the candidate at the lowest amount, even though this candidate has overspent more than 10x what any other candidate has overspent. Mr. Wayne only wanted the candidate to be penalized \$50.00 and call it an oversight. The Commission used the Anne Graham case, to say that case got a \$50.00 penalty, so this will get the same penalty - \$50.00. One of the inconsistencies of the Anne Graham case is that the staff recommended a penalty of \$125.00, for overspending of \$253.00. On that grounds, then, this penalty should be about \$542.00.

In this investigation, the only evidence was an Invoice from Modern Screenprint, that the candidate claims he didn't get. We contend the campaign got the invoice from the person who picked up the signs. By checking on Exhibit A, we found inconsistencies. That's what took me directly from Modern Screenprint in Bangor, ME to Gardiner, ME and to the Ethics Commission on February 4th, so I could report my findings to Paul Lavin at the Ethics Commission. All we wanted to do was to check the facts.

The reason this case must be investigated is to get to the truth and the facts. By not disclosing the volunteers name, dates, etc. tells us that this is a deliberate act, not an unintentional accident. The candidate used the public's money by requesting Clean Election funds, so the public has a right to know the facts in this investigation, so the penalty is appropriate for the amount of overspending - a potentially serious violation. If the Commission paid me 44 per mile, I would be paid \$88.00, which is more than the penalty. It's time to tell the truth and keep the public informed, especially since it's the public's money. It's time to shed light on the Ethics Commission, for transparency in government. We have more information, that will be forthcoming, we want this Commission to check the facts, what we found out. We want you to do your job.

Concerned Citizens, Joseph Therman
Michelle Greenier

FACSIMILE TRANSMITTAL COVER SHEET

RECEIVED

DATE: March 9, 2011TO: Mr. Franklin Jonathan Wayne

MAR 10 2011

FAX: 1-207-287-6775

Maine Ethics Commission

FROM: Joseph & Michele Greenier8

PAGE(S) + COVER SHEET

RE: For your investigation of candidate Katz

OUR FILE #:

COMMENTS:

CORE VALUES
FOR MAINE

Respect
Honesty
Compassion
Fairness
Responsibility
Courage
• Being Impassioned
• Speaking For

Enclosed with our two page letter is the following enclosures

- ① February 17, 2011 Agenda - AMENDED
- ② November 18, 2010 Letter TO: All Legislators
- ③ The Peace Rosary/Chaplet - explanation
- ④ Bangor Daily News article, dated March 3, 2011
- ⑤ Ch. 7 Prohibition on Accepting Contributions p. 51
- ⑥ Ch. 7 Prohibition on Accepting Contributions p. 52

THIS COMMUNICATION IS CONFIDENTIAL AND INTENDED TO BE PRIVILEGED PURSUANT TO APPLICABLE LAW. THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL, AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

If you do not receive this complete transmission, please call



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

March 2, 2011

By Fax and Regular Mail

Joseph and Michelle Greenier
104 Muskrat Farm Road
Stockton Springs, ME 04981

Dear Mr. and Mrs. Greenier:

The Commission staff has gathered preliminary factual information concerning the allegations in your February 10, 14, and 17 letters about a purchase by the 2010 Katz campaign from Modern Screen Prints.

This matter will be placed on the next meeting of the Commission, which will be Thursday, March 31 at 9:00 a.m. The meeting will be held at the Commission's office at 45 Memorial Circle.

Your letter of last night concerning your Freedom of Access Act request suggests that you are continuing to gather information which you believe is relevant. If you have any additional information that you wish the Commission to consider, I need to receive it no later than Thursday, March 10, 2011. Otherwise, it will not be considered by the Commissioners at their March 31 meeting.

Sincerely,

Jonathan Wayne
Jonathan Wayne
Executive Director

cp

cc: Hon. Roger J. Katz

CONFIDENTIAL

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4175

FAX: (207) 287-4775

Byfax 1-207-287-6775

March 9, 2011.

Dear Mr. Franklin Jonathan Wayne,

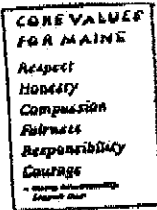
For your investigation, please include my oral conversations with Paul Lavin on February 4th and phone conversation with Gavin O'Brien on February 10th. The information we have gathered for the Ethics Commission rebuts what the candidate has to say to this Commission. Also, please include my conversation with you at the Legislature in Legal & Veterans Affairs Committee room, on February 23rd. I informed you that we asked more questions than you did, regarding this investigation and you denied it.

We object to the contents of letter above. We question that the Commission will not have enough time on March 31st, due to the fact that Chair McKee claimed, they are so tight on time and that the Agenda was already filled. Also, we object to the March 10th deadline, that you demand or not be considered on March 31st meeting. We contend this is a direct retaliation. We are now informing you we will not go by that date. We will go by what is normally done, about a week or so, so you can send it onto the commissioners. From what you have threatened us in your letters, we are invoking our rights for protection under the Whistleblowers Act. Your procedure in this matter is far different than any other meeting deadlines. We put in information and you made it public, which violates our confidentiality. Are you aware that you are promoting invidious discrimination by targeting us, because of direct conflicts of interests in this case? As you know, there are lots of direct conflicts of interests in this case, dealing with the law firm of Lipman, Katz and McKee, especially since you are corresponding to the law firm. The legislative address is the same as the home address, not the law firm. Also, we contend your March 10th deadline is discriminatory, because other people are allowed to submit material two days before Commission meeting and day of meeting, as evidenced in Agenda for February 17, 2011 meeting. Enclosed please find copy for your review.

①

For the public, the Ethics Commission has a job to do and to disclose this information, in this case, as the people have a right to know. Mr. Wayne, have you asked questions and obtained the basic information regarding Invoices from Modern Screenprint from candidate Roger J. Katz?

Please obtain the following for the record, if you haven't already done so:



- ① A copy of Invoice from the 1st batch of 300 signs and wires and copy of the check, which is usually in the record, in other cases. We want to make sure it's done here.
- ② All of the dates that signs were ordered, dates signs were picked up with both invoices and dates signs were paid for.
- ③ A copy of both checks for signs paid with Clean Elections money and personal funds, from 2nd batch of signs.
- ④ Sales taxes were not paid on both invoices from Modern Screenprint
- ⑤ The signs were wholesale, which is a huge discount.

CONFIDENTIAL

- ⑥ Copy of check, for penalty.
- ⑦ The names of all of the volunteers, involved in this campaign
- ⑧ What was the involvement of the Treasurer and Campaign Manager, in the campaign?
- ⑨ Under Schedule D, please check regarding three separate reporting periods, that signs were not reported, which we question, isn't that a violation? Shouldn't the reports be corrected?

If you haven't investigated this basic information, then please do so. If you don't have copies of all of the checks, then you haven't finished the primary part of the investigation, which is your job to do so.

In regards to an FOIA request, with no cover letter from you, we contend that 100% of the entire file was not provided, as you know. We requested questions and answers from the investigation in which the questions are missing from the notes. We requested notes which would include candidate Katz with Commission staff, plus oral conversations with Paul Lavin, Gavin O'Brien directly with Mr. Greenier and you, Mr. Wayne. We contend there were a lot more emails than provided. Please consider this our second request for FOIA, including those items missing from first request and any updates. All of the information in this letter must be included in the record. The Commission must provide proof through invoices, copies of checks, corrected reports, not hearsay. Please keep us informed of your findings, in this case. One of our past legislators testified that when you make a mistake: ① Tell the truth ② correct the mistake ③ promise never to do it again. The people of Maine deserve 100% of the truth of what happened in this campaign, so it never happens again. We request you contact the opponent in this case Patsy Crockett, as she has a right to know what happened. We pray that you will convince candidate Katz and Buddy Doyle to tell the truth. This session we gave every Legislator a Peace Rosary and letter explaining it, to make things go right, for the people of Maine. We are following our religious convictions. In this campaign, we contend that candidate Katz blamed a good company for their own wrongdoing, by not reporting expenditure on campaign reports. Every vendor must provide an invoice with every order, which was provided, according to Kathy at Modern Screenprint. We heard nothing but good things about this reputable company. They deserved to be paid the day the signs were picked up.

Concerned Citizens
Joseph Greenier, Michelle Greenier

②

Enclosures:

① February 17, 2011 Agenda - AMENDED

② November 18, 2010 letter to All Legislators

③ The Peace Rosary/Chapel - explanation

④ Bangor Daily News article, dated March 3, 2011

⑤ Ch. 7 prohibition on accepting contributions p. 51

⑥ Ch. 7 prohibition on accepting contributions p. 52

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MAINE COMMISSION ON

Governmental Ethics & Election Practices

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February 17, 2011 Commission Meeting

Click the title of an agenda item below to view a pdf file of the meeting materials relating to that item.

Agenda - AMENDED

Meeting of February 17, 2011, at 9:00 a.m.

Commission Office, 45 Memorial Circle, 2nd Floor, Augusta, Maine

1. Ratification of minutes of the October 28 and December 20, 2010 Meetings

Late Filing of Independent Expenditure Reports/Republican State Leadership

2. Committee

**** Additional materials (added on 2/15/2011)**

**** Additional materials (added on 2/17/2011)**

The Commission will consider civil penalties arising out of the filing of independent expenditure report #142 by the Republican State Leadership Committee. The report included a total of \$398,970 in expenditures made for three types of communications (mailings, television and radio advertisements) directed at voters in five State Senate districts in Maine. On October 27, 2010, the Maine Democratic Party filed a complaint alleging that the report was late. The staff notified the committee of possible penalties totaling \$41,000 and invited the Committee to respond, including by requesting a waiver of the penalties. The waiver request and response by the committee will be submitted in the last week before the February 17 meeting.

3. Ballot Question Committee Reporting/Request by Thomas Valleeau

On January 3, 2011, Thomas Valleeau requested that the Ethics Commission investigate whether the Portland Press Herald newspaper was required in late 2010 to register and file campaign finance reports as a ballot question committee with the Portland City Clerk. During the week leading up to the November 2, 2010 election, the Press Herald allowed the Portland Regional Chamber of Commerce to run a number of full-page advertisements in support of a Portland referendum on mayoral election. The Press Herald responds that it is exempt from Maine's campaign finance disclosure laws and its purpose in providing the ad space was not to influence the election.

**** Additional materials (added on 2/17/2011)**

4. Letter to Joint Standing Committee on Veterans and Legal Affairs

The Commissioners will consider a draft letter by the Commission staff concerning the issue of leadership political action committees.

5. Report from Commission Auditor

The Commission's auditor completed the audit of Pamela Trinward's 2010 House campaign, which she terminated after deciding to replace a withdrawing candidate for the State Senate. No exceptions (violations) were found.

6. Adjustment to \$350 and \$750 Contribution Limits

In a 2009 law, the Maine Legislature required the Commission to adjust the \$350 and \$750 contribution limits every two years based on the rate of inflation. The Commission's auditor has determined that no adjustment to the contribution limits should be made at this time, based on the low rate of inflation. So, the current limits of \$350 and \$750 will continue to be in effect for elections in 2011 and 2012. The Commission will next consider whether any adjustment is necessary in December 2012.

Other Business

③

104 Muskrat Rd.
Stockton Springs, ME 04981
November 18, 2010.

To: All Legislators

We put in petitions and prayed for all of the Legislators for the 125th session. WE went to Medugorje in September 2010, on a pilgrimage. During the visit, we were give a Peace Rosary and asked to promote the Peace Rosary and give one to someone else. It came to us, to give a Peace Rosary to each Legislator, to start the session for the first day, on a positive note, by promoting peace. It is now more understandable why it came to us in September, to promote the Peace Rosary.

As Legislators, it's your job to look after the people. This session there needs to be a lot of positive changes. The things that are wrong - we need to make it right, for the people of Maine, We need to work together, now more than ever before.

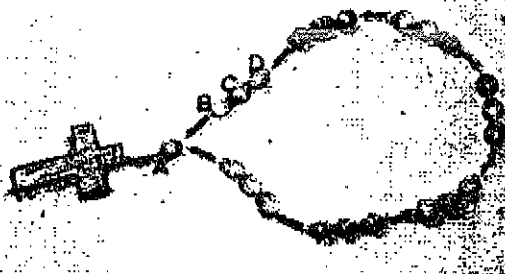
Enclosed is a small Peace Rosary, that were blessed in Medugorje in Bosnia-Herzegovina. Please find instructions how to pray on the left. There are more instructions, if needed, on the reverse side, if you choose to use them. This Peace Rosary is for Legislators, to use as you will, to assist to make decisions and a bridge for peace.

Peace Rosary

In 1981 when Our Blessed Mother appeared at Medjugorje, she asked the children to pray for peace. She asked that they recite the rosary everyday.

"The Little Rosary of Medjugorje" is to be said this way:

Make the sign of the Cross and say the Apostles Creed on the first bead. Then on each set of three beads say one "Our Father", one "Hail Mary" and one "Glory Be. End by saying three times "Our Lady of Peace, Pray for us."



CORE VALUES FOR MAINE

Respect
Honesty
Compassion
Fairness
Responsibility
Courage
- Being a Good Neighbor -
- Being a Good Citizen -

Concerned Citizens and
Pilgrims of Medugorje.

Joseph H. Greenier

Joseph H. Greenier

Michele Greenier

Michele Greenier

Mary Elizabeth Greenier

Mary Elizabeth Greenier

Distributed at the request of Sen. Michael Thibodeau for December 1, 2010.
Distributed at the request of Rep. James Gillway for December 1, 2010.

The Peace Rosary/Chaplet

The peace rosary has been a long tradition in Croatia and has been prayed by families for generations. It is said by praying the Creed and then one Our Father, Hail Mary, and Glory Be seven times. When Our Lady first appeared at Medjugorje in 1981, she told the visionaries that she would like them to continue praying this prayer. Our Lady has called for us to pray for peace in the world, in our families and in our hearts. It is still prayed at the end of Mass each evening in Medjugorje.

- Begin in the name of the Father, and of the Son, and of the Holy Spirit.
- Pray the Apostles Creed.
- Pray the Our Father, Hail Mary and Glory Be on each group of 3 beads (7 times).
- Conclude with the sign of the cross, the sign of our salvation.

Prayers

The Apostles Creed

I believe in God, the Father almighty, Creator of heaven and earth, and in Jesus Christ, His only Son, our Lord. He was conceived by the Holy Spirit, and born of the Virgin Mary. He suffered under Pontius Pilate, was crucified, died and was buried. He descended to the dead. On the third day He rose again. He ascended into heaven, and is seated at the right hand of God the Father Almighty. He will come again to judge the living and the dead. I believe in the Holy Spirit, the Holy Catholic Church, the communion of saints, the forgiveness of sins, the resurrection of the body, and life everlasting. Amen.

The Our Father

Our Father, Who art in heaven, Hallowed be Thy Name. Thy Kingdom come, Thy Will be done, On earth as it is in Heaven. Give us this day, our daily bread, and forgive us our trespasses as we forgive those who trespass against us. And lead us not into temptation, but deliver us from evil. Amen.

The Hail Mary

Hail Mary, Full of Grace, The Lord is with thee. Blessed art thou among women, and blessed is the fruit of thy womb, Jesus. Holy Mary, Mother of God, pray for us sinners now, and at the hour of death. Amen.

The Glory Be

Glory be to the Father, and to the Son, and to the Holy Spirit. As it was in the beginning, is now, and ever shall be, world without end. Amen.

... PRAYER FOR PEACE ...

Almighty and eternal God. May your grace enkindle in all of us a love for the many unfortunate people whom poverty and misery reduce to a condition of life unworthy of human beings. Arouse in the hearts of those who call you Father a hunger and thirst for social justice and for fraternal charity in deeds and in truth. Grant, O Lord, peace in our days, peace to souls, peace to families, peace to our country, and peace among nations. Amen. - Pope Pius XII

Returned and began shooting out
 Thursday, March 3, 2011, Bangor Daily News
 (WENT TO AN UNKNOWN AREA)

Donor

Continued from Page B1

that might want to get involved in a ballot campaign," Nealey said. Jonathan Wayne, executive director of the ethics commission, said he was pleased that the state's disclosure laws pertaining to ballot committees stood up to scrutiny "it lets the public know who's influencing them when they vote on ballot questions," he said.

Already pending before the Boston appeals court is a separate appeal brought by NOM of election law governing political

action committees, independent campaign contributions and other attribution and disclaimer elements required by Maine's election laws.

In that case, Hornby upheld most of Maine's reporting regulations applying to political action committees. But he agreed with NOM that a state regulation requiring 24-hour disclosure of any independent expenditures over \$250 is unconstitutionally burdensome.

NOM opposes same-sex marriage and has been involved in campaigns in Maine and other states. Maine voters repealed the state's same-sex marriage law in 2009.

Group appeals campaign donor ruling

National Organization for Marriage bucking at Maine's finance disclosure law

BY DAVID SHARP
 THE ASSOCIATED PRESS

PORTLAND — A national group that opposes gay marriage is appealing a federal judge's decision to uphold Maine's campaign finance disclosure law that could force it to reveal its list of donors.

Lawyers for the National Organization for Marriage filed their notice of appeal with the 1st U.S. Circuit Court of Appeals in Boston,

which already is considering another constitutional challenge of Maine's campaign finance law by the Virginia-based organization.

The National Organization for Marriage, or NOM, sued after the state ethics commission found that it could be required to disclose the identities of its donors.

Last month, U.S. District Judge D. Brock Hornby granted a summary judgment upholding Maine's campaign disclosure laws as they per-

tain to ballot committees.

Maine law says groups that raise or spend more than \$5,000 to influence elections must register with the state and disclose their donors. NOM donated \$1.9 million to Stand for Marriage Maine, a political action committee that helped repeal Maine's same-sex marriage law.

The Maine Commission on Governmental Ethics and Election Practices still must conduct an investigation to determine whether NOM

must turn over its donor list, the disclosure of which NOM feels would stymie free speech, said Josiah Nealey, an Indiana-based lawyer for NOM.

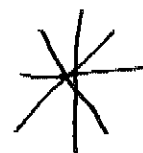
"Our view is even the investigation, or the possibility that these requirements would be enforced, creates a chilling effect on the First Amendment rights, not only of the National Organization for Marriage, but of other political groups

See Donor, Page B4



CHAPTER 7

Prohibition on Accepting Contributions



Definition of Contribution

The term "contribution" is defined in the Election Law to include: "A gift, subscription, loan, advance or deposit of money or anything of value made for the purpose of influencing the nomination or election of any person to state, county or municipal office or for the purpose of liquidating any campaign deficit of a candidate" For further explanation of what is a contribution, please refer to 21-A M.R.S.A. §1012(2) (copied in the Appendix to this Guidebook) and Chapter 1, Section 6 of the Commission's Rules.

Once certified, MCEA candidates are prohibited from accepting any contributions - cash or in-kind. It is important that candidates understand what constitutes an in-kind contribution, because accepting a contribution is a violation of the MCEA.

Paying for Goods and Services with Personal Funds

If the campaign accepts a cash contribution, it must be returned. If the contribution was inadvertently deposited into the campaign bank account, the funds must be withdrawn and returned to the contributor.

Once you have been certified as an MCEA candidate, your campaign may not accept cash contributions from any source. You are not permitted to contribute cash or to make a loan to your campaign. You or your supporters are allowed to purchase goods and services with personal funds or a credit card, provided that the campaign reimburses you or your supporter in the same reporting period in which the purchase was made.



In-Kind Contributions

In-kind contributions are goods or services that are provided to the campaign at no cost or at a cost that is less than the usual and customary charge. The Commission recommends that MCEA candidates who

receive an in-kind contribution contact the Commission staff promptly to receive guidance on how to rectify the situation. The staff will likely advise that the campaign should reimburse the provider of the goods and services immediately with MCEA funds.

Please call the Commission at 287-4179 if you have questions about in-kind contributions.

The following activities are examples of in-kind contributions:

- the candidate purchases campaign signs and is not reimbursed by the campaign;
- a supporter of a candidate pays for some of the candidate's advertising in a community newspaper and is not reimbursed by the campaign;
- * • a friend of a candidate who owns a copy shop provides the campaign with a discount on printing services; Re: October Publishing, not Kayak Concepts *
- a volunteer who is making signs for a candidate buys plywood and paint and is not reimbursed by the campaign; and
- the owner of a local business provides the campaign, free of charge, some of his paid staff members to work for the campaign on company time.

Exempt Goods and Services

Certain goods and services are excluded from the legal definition of "contribution." The campaign's receipt of these goods and services is not an in-kind contribution. Candidates and treasurers are encouraged to familiarize themselves with these "exempt" goods and services. They are listed in the Appendix of this Guidebook. Some examples of exempt goods and services are:

- a trade association, commercial business, or labor union may donate office space or office equipment to a campaign, provided that there is no additional cost;
- an individual may volunteer his services to a campaign at no charge (including professional services such as legal advice, assistance with databases, and web and graphic design) as long as the individual is not being compensated by an employer for providing the services; and
- each volunteer may pay up to \$100 cumulatively in each election (primary or general) toward the cost of food, beverages, and invitations in the course of volunteering for a campaign (e.g., when volunteering at a house party or a campaign event, a campaign supporter may buy up to \$100 in food).



MAINE

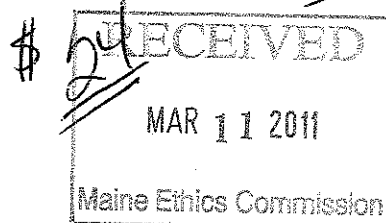
Department of the Secretary of State
Bureau of Corporations, Elections and Commissions

*Hand
Delivered*

Corporate Name Search

List of All Filings

KAYAK CONCEPTS, LLC



Please check one of the following

- ☐ All documents
☐ Articles and amendments only
☐ Specific records

Select from the list below.

Note: If you are selecting "All Documents" or "Articles and Amendments Only" and any of the filings below have "order" next to the checkbox, you must order these copies from the Bureau. See further instructions below.

Each record \$ 3.00

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AMENDMENT	04/11/2008	Yes	<input type="checkbox"/>
ANNUAL REPORT	05/28/2008	Yes	<input type="checkbox"/>
CHANGE OF AGENT	02/20/2009	Yes	<input type="checkbox"/>
ANNUAL REPORT	03/20/2009	Yes	<input type="checkbox"/>
NOTICE - FAILURE TO FILE AN ANNUAL REPORT	07/12/2010	No	order <input type="checkbox"/>
ANNUAL REPORT	07/15/2010	Yes	<input type="checkbox"/>
CHANGE OF AGENT	07/15/2010	Yes	<input type="checkbox"/>

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and/or

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File No 20080953DC Pages 2
Fee Paid \$ 35
DCN 2102041400011 AGNT
FILED
07/15/2010

LIMITED LIABILITY COMPANY

STATE OF MAINE
NONCOMMERCIAL REGISTERED AGENT

STATEMENT OF
APPOINTMENT or CHANGE

KAMAK CONCEPTS, LLC
(Name of Limited Liability Company)

Julie R. Flynn
Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 5 MRSA §§105, 108, & 109 the undersigned limited liability company executes and delivers the following statement of appointment and/or change of address by a noncommercial Registered Agent

FIRST: ("X" all boxes that apply)

- A. ☐ change of address
B. ☒ change to/of noncommercial registered agent and address
C. ☐ change of noncommercial registered agent
D. ☐ change in name of current noncommercial registered agent

SECOND: The name and address of the registered agent appearing on the record in the Secretary of State's office:

C.H. SPURLING ESQ.

(name of current registered agent)

2 CHURCH ST. GARDINER, ME 04345

(physical street address, city, state and zip code)

(SAME)

(mailing address if different from above)

THIRD: (For foreign limited liability companies only)

Jurisdiction of Organization _____

Date authorized to transact business in the State of Maine. _____

FOURTH: Complete this Item as follows based on your selection in Item First

- A. The new address of the noncommercial registered agent (provide address information only),
- B. The name and address of the new noncommercial registered agent (provide name and address information),
- C. The name of the new noncommercial registered agent (provide name only), OR
- D. The new name of the current noncommercial registered agent (provide name only)

EDMUND F. DOYLE

(name of new noncommercial registered agent or new name of current noncommercial registered agent)

18 VINE ST. GARDINER, ME 04345

(physical street address, not a P.O. Box - city, state and zip code)

(AS ABOVE)

(mailing address if different from above)

FIFTH: Pursuant to 5 MRSA §108 3, the registered agent as listed above has consented to serve as the registered agent for this limited liability company

SIXTH: The undersigned noncommercial registered agent of the following limited liability company(s) has notified each limited liability company of the change indicated in Item First A or D.

Name of Limited Liability Company	Jurisdiction	Date authorized or organized in Maine
-----------------------------------	--------------	---------------------------------------

<u>KAMAK CONCEPT LLC</u>	<u>MAINE</u>	
--------------------------	--------------	--

☐ Names of additional limited liability companies attached hereto as Exhibit _____ and made a part hereof

Dated

JUN 15 2010

*By

Edmund F. Doyle
(signature)

EDMUND F. DOYLE (MEMBER)
(type or print name and capacity)

*This statement MUST be signed as follows

- (1) if Item First, A or D was selected, then by the noncommercial registered agent; OR
- (2) if Item First, B or C was selected, then by:
 - (i) at least one manager. OR
 - (ii) at least one member if the limited liability company is managed by the members, OR
 - (iii) any duly authorized person.

Please remit your payment made payable to the Maine Secretary of State

Submit completed form to

Secretary of State
Division of Corporations, UCC and Commissions
101 State House Station
Augusta, ME 04333-0101
Telephone Inquiries (207) 624-7752

Email Inquiries: CEC Corporations@Maine.gov

Filing Fee
\$85.00

Make check payable to
Secretary of State

Please file by
April 1, 2010

STATE OF MAINE
2010 ANNUAL REPORT
FOR LIMITED LIABILITY COMPANIES ON FILE AS OF
DECEMBER 31, 2009

Pursuant to 31 MRSA §757 2, the information on the
report must be current as of the date signed

Do not change any preprinted information on this form.

Filing by April 1, 2010 will allow
us to provide better service. The
legal filing deadline is still June
1, 2010. A \$50 late filing fee will
be assessed if the report is late

DCN Number:

2100019087114

Charter Number

20080953 DC

KAYAK CONCEPTS, LLC
C. H. SPURLING, ESQ., REGISTERED AGENT
TWO CHURCH STREET
GARDINER, ME 04345

If you have any questions regarding the completion of this annual report or if the preprinted information on this report form is incorrect, contact the Reporting & Information Section at (207) 624-7752. All corporate forms are available on our website at www.maine.gov/sos/cec/corp. To file your annual report online, go to www.SOSonline.org and click on the Interactive Corporate Services link. Please see reverse side of this form for additional filing instructions --> --> -->

1. A brief statement of the character of the business in which the limited liability company is actually engaged in the State of Maine, if none, so indicate: (31 MRSA §757 1 C)

WE (CONTRACT FOR MANUFACTURE) AND SELL KAYAK STORAGE SYSTEMS.

2. Name of all Members:

(31 MRSA §757 1 D, §651 and §691)

EDMUND F. BUDDY DOYLE
GERI DOYLE
JERIN KYLE
CLIFFORD FLETCHER
ROGER KATZ

Street address of each (not P O Box):

(physical location (not P O Box)- street or rural route number, town/city, zip)

18 VINE ST. GARDINER 04345

" " "

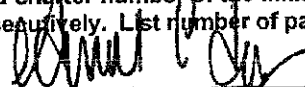
1032 LEWISTON RD. W. GARDINER 04345

16 POND RD. MANCHESTER 04351

3 WETVIEW AUGUSTA 04330

THIS PREPRINTED FORM MUST BE USED. However if more space is needed, please attach additional pages using one side only. All attachments must contain the name and charter number of the limited liability company across the top of the page. Each page should be numbered consecutively. List number of pages attached: _____

Dated: JUL 15 2010



(AUTHORIZED SIGNATURE)

EDMUND F. DOYLE (MEMBER)

(TYPE OR PRINT NAME AND CAPACITY)

(Execution - 31 MRSA §757 3. Penalty for untrue or omitted material facts- 31 MRSA §627 3 and §721 2)

RETURN TO: Reporting Section, Bureau of Corporations, Elections and Commissions, 101 State House Station, Augusta, ME 04333

Maine Secretary of State



2009 Annual Report Electronic Filing Acknowledgment

For Limited Liability Companies on file as of December 31, 2008

Charter Number: 20080953DC

DCN Number: 2090019870372

Legal Name: KAYAK CONCEPTS, LLC

Registered Agent's Name and Address:

C. H. SPURLING, ESQ.
TWO CHURCH STREET
GARDINER, ME 04345

Brief statement of the character of the business:

KAYAK CONCEPTS

Name and Address of Members:

EDMUND L DOYLE
18 VINE STREET GARDINER ME 04345

JEAN KYLE
1032 LEWISTON ROAD WEST GARDINER ME 04345

GERALDINE M DOYLE
18 VINE STREET GARDINER ME 04345

Date of Filing: March 20, 2009

Name and Capacity of Authorizing Party:

C. H. SPURLING, ESQ., REGISTERED AGENT

LIMITED LIABILITY COMPANY

STATE OF MAINE

NONCOMMERCIAL REGISTERED AGENT

STATEMENT OF
APPOINTMENT or CHANGE

Kayak Concepts, LLC

(Name of Limited Liability Company)

Filing Fee \$35.00 for each limited liability company listed

File No 20080953DC Pages 2
Fee Paid \$ 35
DCN 2090572300040 AGNT
~~FILED~~
02/20/2009

Julie L. Hyman
Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 5 MRSA §§105, 108, & 109 the undersigned limited liability company executes and delivers the following statement of appointment and/or change of address by a noncommercial Registered Agent.

FIRST: ("X" all boxes that apply)

- A. ☐ change of address
- B. ☐ change of noncommercial registered agent and address
- C. ☒ change of noncommercial registered agent
- D. ☐ change in name of current noncommercial registered agent

SECOND: The name and address of the registered agent appearing on the record in the Secretary of State's office;

David M. McCullum

(name of current registered agent)

8 Crosby Street, Augusta, ME 04338-2188

(physical street address, city, state and zip code)

P.O. Box 2188, Augusta, ME 04338-2188

(mailing address if different from above)

THIRD: (For foreign limited liability companies only)

Jurisdiction of Organization:

Date authorized to transact business in the State of Maine:

FOURTH: Complete this Item as follows based on your selection in Item First

- A. The new address of the noncommercial registered agent (provide address information only);
- B. The name and address of the new noncommercial registered agent (provide name and address information);
- C. The name of the new noncommercial registered agent (provide name only); OR
- D. The new name of the current noncommercial registered agent (provide name only).

C. H. Spurling, Esq.

(name of new noncommercial registered agent or new name of current noncommercial registered agent)

Two Church Street, Gardiner, ME 04345

(physical street address, not a P O. Box – city, state and zip code)

(mailing address if different from above)

FIFTH: Pursuant to 5 MRSA §108.3, the registered agent as listed above has consented to serve as the registered agent for this limited liability company.

SIXTH: The undersigned noncommercial registered agent of the following limited liability company(s) has notified each limited liability company of the change indicated in Item Fourth A or D:

Name of Limited Liability Company	Jurisdiction	Date authorized or organized in Maine

☐ Names of additional limited liability companies attached hereto as Exhibit, and made a part hereof

Dated February 16, 2009

*By


(signature)

C. H. Spurling, Esq.

(type or print name and capacity)

*This statement MUST be signed as follows:

- (1) if Item First, A or D was selected, then by the noncommercial registered agent; OR
- (2) if Item First, B or C was selected, then by:
 - (i) at least one manager, OR
 - (ii) at least one member if the limited liability company is managed by the members, OR
 - (iii) any duly authorized person.

Please remit your payment made payable to the Maine Secretary of State

Submit completed form to

Secretary of State
Division of Corporations, UCC and Commissions
101 State House Station
Augusta, ME 04333-0101
Telephone Inquiries. (207) 624-7752

Email Inquiries. CEC.Corporations@Maine.gov

Maine Secretary of State



2008 Annual Report Electronic Filing Acknowledgment

For Limited Liability Companies on file as of December 31, 2007

Charter Number: 20080953DC

DCN Number: 2080019889937

Legal Name: KAYAK CONCEPTS, LLC

Registered Agent and Registered Office:

DAVID M. MCCULLUM
PO BOX 2188
AUGUSTA, ME 04338-2188

Brief statement of the character of the business:

KAYAK CONCEPTS

Name and Address of Members:

JEAN KYLE
1032 LEWISTON ROAD WEST GARDINER ME 04345

GERALDINE M DOYLE
18 VINE STREET GARDINER ME 04345

EDMUND L DOYLE
18 VINE STREET GARDINER ME 04345

Date of Filing: May 28, 2008

Name and Capacity of Authorizing Party:

DAVID M MCCULLUM, AGENT

DOMESTIC
LIMITED LIABILITY COMPANY

STATE OF MAINE

ARTICLES OF AMENDMENT

Kayak Concepts, LLC

(Name of Limited Liability Company)

File No. 20080953DC Pages 3
Fee Paid \$ 50
DCN : 2081161400031 AMEN
FILED
04/11/2008


Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 31 MRSA §623, the undersigned limited liability company executes and delivers for filing these articles of amendment

FIRST: The name of the limited liability company has been changed to (if no change, so indicate)

N/A

(The name must contain one of the following "Limited Liability Company", "L L C " or "LLC", §603-A 1)

SECOND: The management of the limited liability company has been changed (if no change, so indicate N/A). If changed, "X" one box only.

☐

A The management of the company is vested in a member or members

☐

B. The management of the company is vested in a manager or managers. The minimum number shall be _____ managers and the maximum number shall be _____ managers

THIRD: Other amendments to the articles, if any, that the members determine to adopt are set forth in Exhibit A attached hereto and made a part hereof.

DATED Nov 8 2018

Manager(s)/Member(s)*
[Signature]
(signature)
Geraldine M. Doyle
(signature)
Jean W. Kyle
(signature)

Edmund L. Doyle, Member
(type or print name and capacity)
Geraldine M. Doyle, Member
(type or print name and capacity)
Jean Kyle, Member
(type or print name and capacity)

For Manager(s)/Member(s) which are Entities

Name of Entity _____

By _____
(authorized signature) (type or print name and capacity)

Name of Entity _____

By _____
(authorized signature) (type or print name and capacity)

Name of Entity _____

By _____
(authorized signature) (type or print name and capacity)

*Articles **MUST** be signed by,

- (1) at least one manager **OR**
- (2) at least one member if the limited liability company is managed by the members **OR**
- (3) any duly authorized person

The execution of this certificate constitutes an oath or affirmation under the penalties of false swearing under 17-A MRSA §453

Please remit your payment made payable to the Maine Secretary of State

**OPERATING AGREEMENT
OF
KAYAK CONCEPTS, LLC**

CAPITAL CONTRIBUTIONS AND MEMBERSHIP INTERESTS

APPENDIX A

	<u>Capital Contribution</u>	<u>Membership Interests</u>
Edmund L. Doyle	40%	40%
Geraldine M. Doyle	40%	40%
Jean Kyle	20%	20%

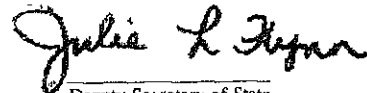
DOMESTIC
LIMITED LIABILITY COMPANY
STATE OF MAINE
ARTICLES OF ORGANIZATION OF
LIMITED LIABILITY COMPANY

(Mark box only if applicable)

- ☐ This is a professional limited liability company** formed pursuant to 13 MRSA Chapter 22-A to provide the following professional services

(type of professional services)

File No. 20080953DC Pages 2
Fee Paid \$ 175
DCN 2072701400030 LTLC
---FILED---
09/27/2007


Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 31 MRSA §622, the undersigned executes and delivers the following Articles of Organization of Limited Liability Company

FIRST: The name of the limited liability company is

Kayak Concepts, LLC

(The name must contain one of the following: "Limited Liability Company", "LLC" or "LLC", 31 MRSA §603-A 1)

SECOND: The name of its Registered Agent, an individual Maine resident or a corporation, foreign or domestic, authorized to do business or carry on activities in Maine, and the address of the registered office shall be

David M. McCullum

(name)

8 Crosby Street, Augusta, Maine 04338-2188

(physical location - street (not P.O. Box), city, state and zip code)

PO Box 2188, Augusta, Maine 04338-2188

(mailing address if different from above)

THIRD: ("X" one box only)



A The management of the company is vested in a member or members



B. 1. The management of the company is vested in a manager or managers The minimum number shall be _____ managers and the maximum number shall be _____ managers

2. If the initial managers have been selected, the name and business, residence or mailing address of each manager is

Name

Address

☐ Names and addresses of additional managers are attached hereto as Exhibit _____, and made a part hereof

FOURTH: Other provisions of these articles, if any, that the members determine to include are set forth in Exhibit _____ attached hereto and made a part hereof

Organizer(s)*

Edmund F. Doyle, Jr.
(signature)

Geraldine M. Doyle
(signature)

DATED 9-26-07

Edmund F. Doyle, Jr.

(type or print name)

Geraldine M. Doyle

(type or print name)

(type or print name)

For Organizer(s) which are Entities

Name of Entity _____

By _____
(authorized signature)

(type or print name and capacity)

Name of Entity _____

By _____
(authorized signature)

(type or print name and capacity)

Name of Entity _____

By _____
(authorized signature)

(type or print name and capacity)

Acceptance of Appointment of Registered Agent

The undersigned hereby accepts the appointment as registered agent for the above-named limited liability company

Registered Agent

David M. McCullum, Esq.
(signature)

DATED 9-26-07

David M. McCullum, Esq.

(type or print name)

For Registered Agent which is a Corporation

Name of Corporation _____

By _____
(authorized signature)

(type or print name and capacity)

Note If the registered agent does not sign, Form MLLC-18 (31 MRSA §607.2) must accompany this document

****Examples** of professional service corporations are accountants, attorneys, chiropractors, dentists, registered nurses and veterinarians (This is not an inclusive list - see 13 MRSA §723.7)

*Articles **MUST** be signed by

- (1) all organizers **OR**
- (2) any duly authorized person

The execution of this certificate constitutes an oath or affirmation under the penalties of false swearing under 17-A MRSA §453

Please remit your payment made payable to the Maine Secretary of State.

SUBMIT COMPLETED FORMS TO: CORPORATE EXAMINING SECTION, SECRETARY OF STATE,
101 STATE HOUSE STATION, AUGUSTA, ME 04333-0101

FORM NO. MLLC-6 (2 of 2) Rev 8/1/2004

TEL. (207) 624-7752

Maine Secretary of State



2011 Annual Report Electronic Filing Acknowledgment

For Business Corporations on file as of December 31, 2010

Charter Number: 19760153 D
DCN Number: 2110019005347
Legal Name: G & E ROOFING CO., INC.

Clerk's Name and Address:

DAVID M. LIPMAN
P.O. BOX 1051
AUGUSTA, ME 04332-1051

Brief statement of the character of the business:

COMMERCIAL ROOFING

Name and Address of Officers:

TREASURER
NORMAN S. ELVIN
669 RIVERSIDE DRIVE, AUGUSTA, ME 04330

PRESIDENT
NORMAN S. ELVIN
669 RIVERSIDE DRIVE, AUGUSTA, ME 04330

VICE PRESIDENT
JENNIFER J. JACQUES
669 RIVERSIDE DRIVE, AUGUSTA, ME 04330

Name and Address of Shareholder:

NORMAN S. ELVIN

669 RIVERSIDE DRIVE, AUGUSTA, ME 04330

Date of Filing: February 22, 2011

Name and Capacity of Authorizing Party:

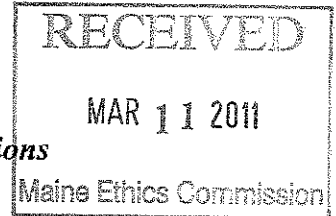
DAVID M. LIPMAN, CLERK



Matthew Dunlap
Secretary of State

Bureau of Corporations, Elections and Commissions

*Department of
the Secretary of State*



Julie L. Flynn
Deputy Secretary of State

OCTOBER PUBLICATIONS, INC.
EDMUND F. DOYLE, CLERK
18 VINE STREET

GARDINER ME 04345

20051174 D
2100079650001

The above named business corporation was reinstated effective January 6, 2010.

Sincerely,

Timothy R. Poulin
Director,
Division of Corporations and UCC

Maine Secretary of State



2010 Annual Report Electronic Filing Acknowledgment

For Business Corporations on file as of December 31, 2009

Charter Number: 20051174 D
DCN Number: 2100019063270
Legal Name: OCTOBER PUBLICATIONS, INC.

Clerk's Name and Address:

EDMUND F. DOYLE
18 VINE STREET
GARDINER, ME 04345

Brief statement of the character of the business:

NONE

Name and Address of Officers:

TREASURER
EDMUND F. DOYLE
18 VINESTREET, GARDINER, ME 04345

PRESIDENT
GERALDINE DOYLE
18 VINE STREET, GARDINER ME 04345

Name and Address of Shareholders:

GERALDINE DOYLE
18 VINE STREET, GARDINER, ME 04345

EDMUND F. DOYLE
18 VINE STREET, GARDINER, ME 04345

Date of Filing: January 6, 2010

Name and Capacity of Authorizing Party:

EDMUND F. DOYLE, TREASURER

Filing Fee:
\$85.00

Make check payable to:
Secretary of State

Please file by
APRIL 1, 2007

STATE OF MAINE
2007 ANNUAL REPORT
FOR BUSINESS CORPORATIONS ON FILE AS OF
DECEMBER 31, 2006

Pursuant to 13-C MRSA §1621 2, the information on the
report must be current as of the date signed.

Do not change any preprinted information on this form.

Filing by April 1, 2007 will allow us to
provide better service. The legal filing
deadline is still June 1, 2007. A
\$50 late filing fee will be assessed if
the report is late.

DCN Number

2070019858008

Charter Number

20051174 D

OCTOBER PUBLICATIONS, INC.
THOMAS W. TAVENNER, CLERK
P.O. BOX 340
BOOTHBAY HARBOR, ME 04538

If you have any questions regarding the completion of this annual report or if the preprinted information on this report form is incorrect, contact the **Reporting & Information Section at (207) 624-7752**. All corporate forms are available on our website at www.maine.gov/sos/cec/corp. To file your annual report online, go to www.SOSonline.org and click on the Interactive Corporate Services link. **Please see reverse side of this form for additional filing instructions.** →→→

- 1 A brief statement of the character of the business in which the corporation is actually engaged in the State of Maine, if none, so indicate (13-C MRSA §1621 1 C)

- 2 Name of each officer (13-C MRSA §1621 1 D)

Pres

GERALDINE DOMIE

Treas

EDMUND DOMIE

Street address of each officer (not P O Box)
(physical location (not P O Box) - street or rural route number, town/city, zip)

18 VINE ST. GARDINER ME 04345

18 VINE ST. GARDINER ME 04345

- 3 Name of all Shareholders
(13-C MRSA §1621 1 D)

EDMUND F DOMIE

GERALDINE DOMIE

Street address of each (not P O Box):
(physical location (not P O Box) - street or rural route number, town/city, zip)

18 VINE ST. GARDINER 04345

18 VINE ST. GARDINER 04345

THIS PREPRINTED FORM MUST BE USED. However if more space is needed, please attach additional pages, using one side only. All attachments must contain the name and charter number of the corporation across the top of the page. Each page should be numbered consecutively. List number of pages attached: _____

Dated: 26 FEB 2007

EDMUND F. DOMIE TREASURER

(TYPE OR PRINT NAME AND CAPACITY)

Penalty for untrue or omitted material facts (13 C MRSA §191)

DOMESTIC
BUSINESS CORPORATION

STATE OF MAINE

CHANGE OF CLERK and/or
REGISTERED OFFICE

OCTOBER PUBLICATIONS
(Name of Corporation)

File No 20051174 D Pages 2
Fee Paid \$ 35
DCN 2070651500023 CLRO
FILED
02/27/2007

Julie L. Flynn
Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 13-C MRSA §501, the undersigned corporation executes and delivers the following Change of Clerk and/or Registered Office.

FIRST: ("X" all boxes that apply)

- A. ☐ change of registered office B. ☐ change of clerk and registered office
C. ☒ change of clerk D. ☐ change in name of current clerk

SECOND: The name and registered office of the clerk appearing on the record in the Secretary of State's office

THOMAS W. TAVENNER

(name of current clerk)

P.O. BOX 340 BOOTHBAY HARBOR ME 04538

(street, city, state and zip code)

THIRD: Complete this item as follows based on your selection in Item First:

- A. The address of the new registered office (provide address information only),
B. The name and registered office of the new clerk, who must be a Maine resident (provide name and address information);
C. The name of the new clerk, who must be a Maine resident (provide name only); OR
D. The new name of the current clerk (provide name only)

EDMUND F. DOYLE TREASURER

(name of new clerk or new name of current clerk)

18 VINE ST. GARDINER ME 04345

(physical location, not P O Box - street, city, state and zip code)

(SAME)

(mailing address if different from above)

FOURTH: Upon a change in clerk, one of the following must be completed. ("X" one box only)

- ☒ The change of clerk was duly authorized by the board of directors of the corporation and that the power to appoint the clerk is not reserved to the shareholders by the articles or the bylaws.
☐ The change of clerk was duly authorized by the shareholders of the corporation.

FIFTH:

The undersigned clerk of the following corporation(s), who has changed the address of the registered office OR who has changed his or her name, has notified each corporation of the change indicated in Item Third A or D:

Name of Corporation

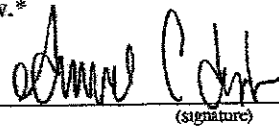
☐ Names of additional corporations attached hereto as Exhibit ___, and made a part hereof.

Note. The following must be signed by the proper person as designated below.*

DATED

Feb 26 2007

*By


(signature)

EDMUND F. DONIE TREASURER
(type or print name and capacity)

Acceptance of Appointment of New Clerk

The undersigned hereby accepts the appointment as clerk for the above-named domestic business corporation.

DATED

(signature of clerk)

(type or print name)

Note If this document changes the clerk and the new clerk does not sign, Form MBCA-18 (13-C MRSA §501.3) must accompany this document.

*This document **MUST** be signed as follows:

- (1) if Item First, A was selected, then by the Clerk OR
- (2) if Item First, B. was selected, then by any duly authorized officer or the new Clerk OR
- (3) if Item First, C. was selected, then by any duly authorized officer or the new Clerk OR
- (4) if Item First, D was selected, then by the Clerk.

Please remit your payment made payable to the Maine Secretary of State.

SUBMIT COMPLETED FORMS TO: CORPORATE EXAMINING SECTION, SECRETARY OF STATE,
101 STATE HOUSE STATION, AUGUSTA, ME 04333-0101



Matthew Dunlap
Secretary of State

Bureau of Corporations, Elections and Commissions

*Department of
the Secretary of State*

Julie L. Flynn
Deputy Secretary of State

20051174 D
2061249650022

OCTOBER PUBLICATIONS, INC.
THOMAS W. TAVENNER, CLERK
P.O. BOX 340

BOOTHBAY HARBOR ME 04538

The above named business corporation was reinstated effective April 18, 2006.

Sincerely,

A handwritten signature in black ink that reads "Timothy R. Poulin".

Timothy R. Poulin
Director,
Division of Corporations and UCC

Filing Fee*
\$85.00

Make check payable to
Secretary of State

Please file by
APRIL 1, 2006

STATE OF MAINE
2006 ANNUAL REPORT
FOR BUSINESS CORPORATIONS ON FILE AS OF
DECEMBER 31, 2005
Pursuant to 13-C MRSA §1621 2, the information on the
report must be current as of the date signed.

Do not change any preprinted information on this form.

Filing by April 1, 2006 will allow us to
provide better service. The legal filing
deadline is still June 1, 2006. A
\$50 late filing fee will be assessed if
the report is late.

DCN Number

2050039866736

Charter Number

20051174 D

OCTOBER PUBLICATIONS, INC
THOMAS W. TAVENNER, CLERK
P.O. BOX 340
BOOTHBAY HARBOR, ME 04538

If you have any questions regarding the completion of this annual report, contact the Reporting & Information Section at (207) 624-7752. If the preprinted information on this report form is incorrect, contact the Corporate Examining Section at (207) 624-7740 or visit our website at www.maine.gov/sos/cec/corp to obtain the proper form to make the necessary changes. To file your annual report online, go to www.SOSonline.org and click on the Interactive Corporate Services link. Please see reverse side of this form for additional filing instructions. →→→

- 1 A brief statement of the character of the business in which the corporation is actually engaged in the State of Maine, if none, so indicate* (13-C MRSA §1621 1 C)

publishing company

- 2 Name of each officer (13-C MRSA §1621 1 D)

Street address of each officer (not P O Box)
(physical location (not P O Box) - street or rural route number, town/city, zip)

Pres

Treas.

Geraldine Doyle 18 Vine Street, Gardiner Me.
Buddy Doyle 18 Vine Street, Gardiner, Me.

- 3 Name of all Shareholders
(13-C MRSA §1621 1 D)

Street address of each (not P O Box).
(physical location (not P O Box) - street or rural route number, town/city, zip)

same as above

THIS PREPRINTED FORM MUST BE USED. However if more space is needed, please attach additional pages, using one side only. All attachments must contain the name and charter number of the corporation across the top of the page. Each page should be numbered consecutively. List number of pages attached: _____

Dated: 3/24/06

Geraldine Doyle
(AUTHORIZED SIGNATURE)
GERALDINE Doyle, shareholder
(TYPE OR PRINT NAME AND CAPACITY)
Penalty for untrue or omitted material facts (13-C MRSA §131)

RETURN TO Reporting Section, Bureau of Corporations, Elections and Commissions, 101 State House Station, Augusta, Maine 04333-0101
FORM NO. MBCA-13



Matthew Dunlap
Secretary of State

Bureau of Corporations, Elections and Commissions

*Department of
the Secretary of State*

Julie L. Flynn
Deputy Secretary of State

20051174 D
2052989852700

OCTOBER PUBLICATIONS, INC.
THOMAS W. TAVENNER, CLERK
P.O. BOX 340
BOOTHBAY HARBOR, ME 04538

Please be advised that your corporation has been administratively dissolved pursuant to 13-C M.R.S.A. Section 1421 for failure to file the 2005 Annual Report and to pay the filing fee and penalty owed. A corporation, while administratively dissolved, may not transact business in the State of Maine.

Our records indicate that you were notified of this impending administrative dissolution on 08/16/2005. In order to reinstate your corporation at this time, you must file the 2005 Annual Report together with the \$85.00 filing fee, the \$50.00 late filing penalty and the \$150.00 reinstatement fee.

You may reinstate your corporation by filing your 2005 Annual Report online at www.SOSonline.org. The filing fee, late filing penalty and reinstatement fee may be paid over the Internet with Visa, Mastercard or electronic check. As an alternative, if you need to reinstate by filing a paper annual report, you may download the preprinted annual report form by using Paper Annual Reports also available at www.SOSonline.org.

Both online services will require that you enter the following information:

Charter Number: 20051174 D

If you have any questions concerning this matter or require assistance in reinstating your corporation, please contact the Reporting and Information Section of our office at (207) 624-7752.

Dated this twenty-fifth day of October, 2005.

Sincerely,

Timothy R. Poulin
Director,
Division of Corporations and UCC



Bureau of Corporations, Elections and Commissions

*Department of
the Secretary of State*

Julie L. Flynn
Deputy Secretary of State

Matthew Dunlap
Secretary of State

August 16, 2005

20051174 D

2052289063174

OCTOBER PUBLICATIONS, INC.
THOMAS W. TAVENNER, CLERK
P.O. BOX 340
BOOTHBAY HARBOR, ME 04538

RE: FAILURE TO FILE ANNUAL REPORT - FILE ONLINE TODAY !

Our records indicate that you have not yet filed your 2005 Annual Report for the year ending December 31, 2004, which was due in our office on or before June 1, 2005 together with the filing fee of \$85.00. We are therefore required by 13-C M.R.S.A. Section 1622 (1) to assess a late filing penalty of \$50.00.

If this office does not receive the Annual Report in proper filing order together with the \$85.00 filing fee and the \$50.00 late filing penalty, within 65 days of the date of this notice, your corporation will be administratively dissolved.

You may file your 2005 Annual Report using Annual Reports Online available at www.SOSonline.org. The filing fee and the late filing penalty may be paid over the Internet with Visa or MasterCard. As an alternative, if you need to file the paper annual report, you may download the preprinted annual report form by using Paper Annual Reports also available at www.SOSonline.org.

Both online services will require that you enter the following information:

Charter Number : 20051174 D

If you have any questions concerning this matter, please contact the Reporting and Information Section of our office at (207)624-7752.

Sincerely,

Timothy R. Poulin
Director,
Division of Corporations and UCC

DOMESTIC
BUSINESS CORPORATION

STATE OF MAINE

ARTICLES OF INCORPORATION

(Mark box only if applicable)

- ☐ This is a professional corporation** formed pursuant to 13 MRSA Chapter 22-A to provide the following professional services:

(type of professional services)

File No. 20051174 D Pages 2
Fee Paid \$ 145
DCN 2050041600002 ART1
FILED
12/21/2004

Julie L. Flynn

Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 13-C MRSA §202, the undersigned executes and delivers the following Articles of Incorporation:

- FIRST: The name of the corporation is OCTOBER PUBLICATIONS, INC.
- SECOND: The name of its initial Clerk, who must be a Maine resident, and the address of the initial registered office shall
Thomas W. Tavenner
(name)
654 Wiscasset Road, Boothbay, ME 04537
(physical location, not P.O. Box - street, city, state and zip code)
P.O. Box 340, Boothbay Harbor, ME 04538
(mailing address if different from above)
- THIRD: ("X" one box only)
- ☒ There shall be only one class of shares. The number of authorized shares is 1000
(Optional) Name of class: Common
- ☐ There shall be two or more classes or series of shares. The information required by §601 concerning each such and series is set forth in Exhibit attached hereto and made a part hereof.
- FOURTH: ("X" one box only)
- ☐ The corporation will have a board of directors.
- ☒ There will be no directors; the business of the Corporation will be managed by shareholders. (§743)
- FIFTH: (For corporations with directors, each of the following provisions is optional - "X" only if applicable)
- ☐ The number of directors is limited as follows: not fewer than nor more than directors. (§803)
- ☐ To the fullest extent permitted by §202.2.D., a director shall have no liability to the Corporation or shareholders for money damages for an action taken or a failure to take an action as a director.
- ☐ Except as otherwise specified by contract or in its bylaws, the Corporation shall in all cases provide indemnification (including advances of expenses) to its directors and officers to the fullest extent permitted by (§§202, 857 and 859)

SIXTH: ("X" only if applicable)

☐ The Corporation elects to have preemptive rights as defined in §641.

SEVENTH: Name and address of each Incorporator is set forth below or on Exhibit _____ attached hereto.

Geraldine Doyle
(type or print name)

18 Vine Street
(street or mailing address)

Gardiner, ME 04345
(city, state and zip code)

(type or print name)

(street or mailing address)

(city, state and zip code)

(type or print name)

(street or mailing address)

(city, state and zip code)

EIGHTH: ("X" only if applicable)

☐ Additional provisions of these Articles of Incorporation are set forth in Exhibit _____ attached hereto and made a part hereof. (§202)

DATED 12/17/04

*By Geraldine Doyle
(signature of incorporator)

Geraldine Doyle
(type or print name and capacity)

Acceptance of Appointment of Clerk

The undersigned hereby accepts the appointment as clerk for the above-named domestic business corporation.

DATED 12/17/04

Thomas W. Tavenner
(signature of clerk)

Thomas W. Tavenner
(type or print name)

Note: Unless the Clerk is signing here to accept appointment as clerk, Form MBCA-18 (§501.3) must accompany this document.

*The professional corporation name must contain one of the following: "chartered," "professional corporation," "professional association" or "service corporation" or the abbreviation "P.C.," "P.A." or "S.C."

*These articles must be dated and executed by an incorporator pursuant to the provisions of §121.5.

Please remit your payment made payable to the Maine Secretary of State.

SUBMIT COMPLETED FORMS TO: CORPORATE EXAMINING SECTION, SECRETARY OF STATE,
101 STATE HOUSE STATION, AUGUSTA, ME 04333-0101

FILING REQUIREMENTS REMINDERS

Once your legal entity has been accepted for filing by the Secretary of State's office, it is important that you keep the following filing requirements in mind.

ANNUAL REPORT FILING:

- An annual report is required to be filed every year in order to maintain a good standing status with this office. The **legal filing deadline is June 1st**.
- The first annual report filing must be filed between January 1st and June 1st of the year following the calendar year in which the entity was incorporated, organized or qualified. Subsequent annual reports must be delivered to the Secretary of State between January 1st and June 1st of the following calendar years. **Examples:**

Articles filed between **January 1, 2010 to December 31, 2010**, the first annual report is due by June 1, 2011

Articles filed between **January 1, 2011 to December 31, 2011**, the first annual report is due by June 1, 2012.

- This annual report is required to be filed every year in order to maintain a good standing status with this office.
- It is the entity's responsibility to file the annual report in a timely manner. If you would like to receive an email reminder notification, you must file the annual report online. Your annual report can be filed quickly and easily using Maine's online filing system, simply visit www.SOSonline.org and click on the Interactive Corporate Services link. Payment may be made by Visa, MasterCard, Discover, electronic check or subscriber account. The annual report fee is \$85 for domestic business entities, \$150 for foreign business entities and \$35 for domestic and foreign nonprofit corporations.
- If you must file using a paper annual report form, you may download your entity's preprinted paper form by using our "Create a Preprinted Annual Report Form" also available at www.SOSonline.org under the Interactive Corporate Services link.
- Our office no longer mails a reminder to file annual reports. Email reminders will be sent to the email address on file for the entity. If you would like to receive an email filing reminder notification, you must provide our office with a valid email address and keep it current at all times. The email will come from CEC.Corporations@Maine.gov so you should update your spam filter to add this email address as a non-spam email. Our office is aware that emails coming from this email address often go into a spam folder in email systems.
- If you file paper annual report forms, it is **very important** that you put a reminder on your calendar to file subsequent annual reports in a timely manner.
- If the report is not received by the filing deadline, there will be a late filing penalty assessed. Failure to pay the late filing penalty will result in the administrative dissolution or revocation of your legal entity.

NONCOMMERCIAL CLERK OR NONCOMMERCIAL REGISTERED AGENT ADDRESS CHANGE:

A noncommercial clerk or noncommercial registered agent can change their address online. This online service allows the noncommercial clerk or noncommercial registered agent the functionality to change their address on file with our office. To change the address of the noncommercial clerk or registered agent, simply visit "Change of Address Online Service" available at www.SOSonline.org under the Interactive Corporate Services link.

COMMERCIAL CLERK OR COMMERCIAL REGISTERED AGENT CHANGE

A commercial clerk or commercial registered agent can maintain the data associated with its listing and manage the relationships to its represented entities online at www.SOSonline.org under the "Commercial Clerk & Commercial Registered Agent Online Listing & Management Service" Interactive Corporate Services link. In order to use this online service, the commercial clerk or commercial registered agent must have an InforME subscriber account login and password.

PRINCIPAL OR HOME OFFICE ADDRESS CHANGE FOR FOREIGN ENTITIES

An authorized individual of a foreign entity (organized outside of Maine) can change the principal or home office address online. To change the principal or home office address on a foreign entity online, simply visit "Change of Address Online Service" available at www.SOSonline.org under the Interactive Corporate Services link.

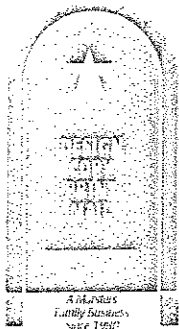
APPLICATION FOR EXCUSE:

- Any domestic business or nonprofit corporation that is currently in good standing but not conducting business, which wishes to be put in an inactive status, may file an application for excuse.
- To avoid having to submit an annual report, the excuse form may be filed at any time prior to the next annual report filing deadline. Once a corporation is excused, it is not required to file reports until a certificate of resumption is submitted.

NONPROFIT COMPENSATION INFORMATION REQUIREMENT:

- A public benefit corporation that receives at least 25% of its total funding from one or more municipal, county, state or federal sources shall provide to the public information about the total compensation paid by the corporation to any director or officer of the corporation if the compensation exceeds \$250,000 in any 12-month period. The corporation shall make the information available by posting the information on its publicly accessible website or through other comparable means. "Compensation" includes all remuneration and benefits.

To contact a customer service representative for filing assistance, please contact the Division of Corporations, UCC & Commissions at (207) 624-7752.



BANGOR LETTER SHOP & COLOR COPY CENTER

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Bangor, ME 04401-6518

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FAX (207) 942-9914

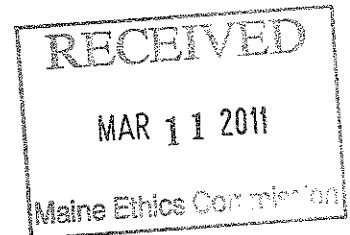
info@bangorlettershop.com


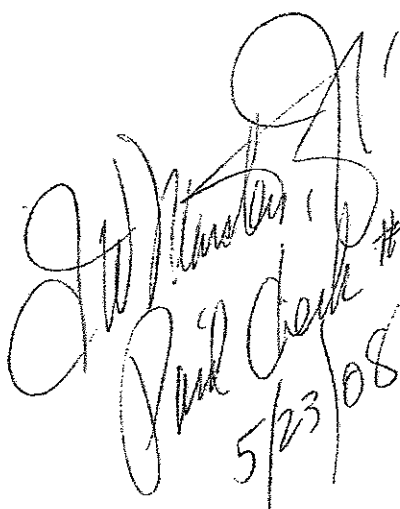
COMMITTEE TO ELECT JOSEPH GREENIER
104 MUSKRAT ROAD
STOCKTON SPRINGS ME 04981

Invoice	
No: [REDACTED]	Date: 05/22/08

Ship To:

DISTRICT #23



Acct.No	Ordered by	Phone	P.O. No	Prepared by	Sales Rep	Ship Via
	JOSEPH GREENIER	567-3635		AMY		CALL
Quantity	Description					Price
12	MAGNETIC SIGNS 14 X 22 ONE COLOR SIX SETS = 12 TOTAL					225.00
500	LAWN SIGNS 22 X 48 FOLDED TO 14 X 22 ONE COLOR INK					887.50
500	NEW WIRES FOR LAWN SIGNS					500.00
<div> Paid Check # 114 5/23/08</div> <div>THANK YOU FOR YOUR ORDER!</div>						
Subtotal						1,612.50
Shipping						0.00
Postage						0.00
Tax						80.63
TOTAL						1,693.13
Paid						-50.40
BALANCE						1,642.73
Terms						Net 30 Days

PLEASE PAY THE BALANCE FROM THIS INVOICE.

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(print# 2)



CAMPAIGN LAWN SIGNS

Singles 14" X 22" or 11" X 28"

QUANTITY	100	150	200	250	300	400
1 Color #15089	\$228.75	\$262.50	\$298.75	\$327.50	\$387.50	\$445.00
2 Color #15090	\$311.25	\$345.00	\$377.50	\$408.75	\$481.25	\$553.75

Foldover 22" X 28"

(Scoring charge included in pricing)

QUANTITY	100	150	200	250	300	400
1 Color #15091	\$361.25	\$450.00	\$507.50	\$611.25	\$640.00	\$775.00
2 Color #15092	\$440.00	\$543.75	\$616.25	\$740.00	\$797.58	\$930.00

- Weather Resistant - Polyethylene Plastic Coated on Both Sides
- Clear, Sharp Screen Printing
- Positive or Reverse at No Extra Charge
- Extensive or Complex Artwork May Require an Art Charge
- Specify Horizontal or Vertical Position
- Heavy 24 Point White Stock
- Foldover Posters Easily Convert to Yard Signs by Folding on the Score

FOLDOVER SIGN HOLDERS

Size	New	Used
14x22	\$1.00 ea	NA
11x28	\$1.00 ea	\$0.60 ea



1/4 SHEET PERSONALIZED POSTCARDS *(Clincher Cards)*

QUANTITY	1000	2000	3000	4000	5000
1 Color #14287	\$210.49	\$263.49	\$316.49	\$274.49	\$423.49
2 Color #14986	\$231.95	\$280.95	\$341.95	\$402.95	\$450.95

Size 4.25 x 5.5

White Coated or Uncoated Card Stock • Printed 2-Sides

Postcards require 27c postage, as of May 14, 2008, on each card to mail individually with personal notes from door-to-door campaigning. Cards can be mailed at a slightly lower postage rate with pre-printed note rather than personal notes. See other postage / mailing information on reverse side.



BUMPER STICKERS

Size: 11.5 x 3

QUANTITY	125	250	500	1000
1 Color #15093	\$156.25	\$187.50	\$270.00	\$343.75
2 Color #15094	\$237.50	\$281.25	\$350.00	\$468.75

Start Your Campaign with Us!

Bangor Letter Shop



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TEL (207) 945-9311 • FAX (207) 942-9914 • irv@bangorlettershop.com

★★ CAMPAIGN 2008: Printing & Mailing Pricing ★★

FLYERS

Size: 8.5 x 11 • Stock: 60# White or Color Text
Printed 2-Sides

QUANTITY	1000	2000	3000	4000	5000
1 Color #15027	\$157.49	\$186.49	\$215.49	\$254.49	\$297.49
2 Color #15026	\$184.95	\$220.45	\$251.95	\$293.95	\$337.95

PALM CARDS

Size: 8.5 x 3.3 • White Glossy or Uncoated Stock
Printed 2 Sides

QUANTITY	1000	2000	3000	4000
1 Color #14910	\$177.49	\$223.49	\$254.49 208.45	\$311.49
2 Color #14983	\$199.95	\$247.95	\$295.95	\$341.95

OVERSIZED POSTCARDS

Size 5.5 x 8.5 • White Glossy or Uncoated Card Stock • Printed 2-Sides

QUANTITY	1000	2000	3000	4000	5000
1 Color #15024	\$189.49	\$240.49	\$296.49	\$352.49	\$408.49
2 Color #15025	\$211.95	\$264.95	\$323.95	\$381.95	\$440.95

Mailing costs are separate - please see below

BUSINESS CARDS

Size: 3.5 x 2
80# Uncoated or Glossy Cover Stock

★★ 1 SIDED ★★

QUANTITY	500	1000	2000	5000
1 Color #15045	\$130.49	\$145.49	\$174.49	\$217.49
2 Color #15047	\$162.95	\$179.95	\$211.95	\$264.95

★★ 2 SIDED ★★

QUANTITY	500	1000	2000	5000
1 Color #15046	\$165.49	\$184.49	\$222.49	\$269.49
2 Color #15048	\$199.95	\$217.95	\$251.95	\$299.95

VOTER QUESTIONNAIRE OR SURVEY RESULTS

Size: 8.5x14 • Stock: 60# White or Colored Text • Printed 2-Sides & Folded

QUANTITY	1000	2000	3000	4000	5000
1 Color #14286	\$238.49	\$283.49	\$327.49	\$371.49	\$416.49
2 Color #14985	\$272.95	\$319.95	\$366.95	\$413.95	\$460.95

Mailing costs are separate - please see below

SATURATION MAILING: 23.9¢/PIECE PROCESSING & POSTAGE

- Includes assembling addresses for saturation of legislative district (one piece per maildrop), addressing, sorting, delivering to Post Office and paying postage (tabbing of mailpieces not recommended nor required).
- In some special cases where legislative districts and mail routes do not adequately align (within municipality), use of a digital voter list may be preferable. This type of mailing usually has a slightly higher postage rate but a fewer number of pieces are mailed. Consultation with staff and/or candidate regarding coverage and costs is customary.
- Whenever digital voter lists are used, the alternative to mailing one piece to each voter is to utilize a duplicate detect by address so that only one piece is mailed per address or per family name at each address.

Time to hoist a cold one to toast new mayor

0 Comments | Kennebec Journal, Jan 6, 2007

A local weekly reported recently about campaign expenditures in the Augusta mayoral campaign. I thought I was finished with editorials for the year, but since my name was mentioned as a recipient of campaign funds -- to pay for campaign signs -- well, maybe just one more effort. I support full disclosure of political campaign funds, so I offer the following:

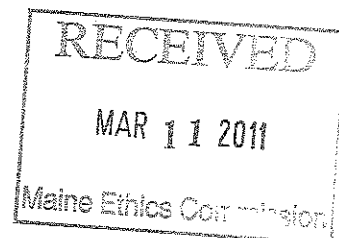
The total of \$250 contributions was far eclipsed by the total of \$5 and \$10 contributions. The candidate wanted to send back some of the money, but it would be like refunding people's faith and trust. Roger Katz often declined our invitation for "a cold one" on a hot summer night because he wanted to walk yet another neighborhood. He vowed to "knock on every single door in Augusta," and we believe he did. He's spent most of his adult life knocking on someone's door to ask, "What can I do to help?" So when it came time to support his candidacy, contributions large and small poured in -- and volunteers turned out in droves to ask, "What can we do to help?"

On Jan. 2, 2007, Roger Katz was inaugurated as Mayor of Augusta. Be pleased. Be grateful. Because we predict, with absolute certainty, that 20 or 30 years from now this time will be known as "The Katz Era," and we invite you to join us for a cold one to toast to "A Mayor for ALL of Us" -- Happy New Era!

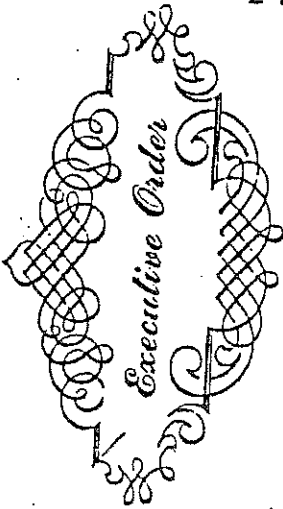
Buddy Doyle

Gardiner

Copyright Kennebec Journal Jan 6, 2007



PLEASE POST



OFFICE OF
THE GOVERNOR

NO. 10 FY88/89
DATE April 1, 1989

AN ORDER
ESTABLISHING A CODE OF ETHICS AND CONDUCT
FOR THE EXECUTIVE BRANCH
OF MAINE STATE GOVERNMENT

Whereas, the government of the State of Maine is established to serve the needs and interests of the People of the State of Maine; and

Whereas, the quality and amount of service provided the People depends very largely on the skill, commitment, enthusiasm, effort, and concerns of Maine State employees; and

Whereas, each State employee has inordinate opportunity for service and contribution, just as the employee has a singular trust and responsibility given by the power of the People; and

Whereas, the high quality and character of the collective performance of public servants are a fundamental source of pride and satisfaction to each one of us as individuals; and

Whereas, the Maine Revised Statutes include specific requirements for public employees and officials, including among others: Title 5, Sections 18, 19, and 20; Title 17, Section 3104; and Title 17-A, Chapter 25, Section 601 et. seq.; and

Whereas, our conduct must be more than within the letter of the law; it must seek to fulfill the spirit and intent; and

Whereas, a clear statement of the code of ethics and conduct which guides Maine State Government is both an assurance to the People and an aid to our steadfast efforts;

Now, Therefore, I, John B. McKernan, Jr., Governor of the State of Maine, do hereby set forth a code of ethics and conduct for the Officers and Employees of Maine State Government, as follows:

Executive Order
April 1, 1989
Page 2

Code of Ethics
Maine State Government

1. Be guided by the highest standards of honor, personal integrity, and fortitude in all public activities in order to merit the respect of other officials, employees, and the public. Strive to inspire public confidence and trust in Maine State Government institutions.

Perceptions of others are critical to the reputation of an individual or a public agency. Nothing is more important to public administrators than the public's opinion regarding their honesty, truthfulness, and personal integrity. The best insurance against loss of public confidence is adherence to the highest standards.

Public administrators are obligated to develop civic virtues as a result of the public responsibilities they have sought and obtained. Respect for truth, for fairly dealing with others, for sensitivity to rights and responsibilities of citizens, and for the public good must be developed, carefully nurtured and enhanced.

If responsible for the performance of others, share with them the reasons for the importance of integrity. Hold them to high ethical standards and teach them by example the moral, as well as the financial, responsibility entrusted to them.

If responsible only for your own performance, do not compromise honesty and integrity for advancement, honors, or personal gain. Be respectful of proper authority and appointed or elected superiors and sensitive to the expectations and the values of the public you serve. Practice the golden rule: doing to and for others what you would have done to and for you in similar circumstances. Be modest about your talents, letting your work speak for you. Be generous in praise of the good work of fellow workers. Guard the public purse as if it were your own.

By example, give testimony to the regard for the rights of others. Concede gracefully, quickly, and publicly when you have erred. Be fair and sensitive to those who have not fared well in their dealings with your agency and its application of the law, regulations, or administrative procedures.

2. Serve the State with respect, concern, courtesy, and responsiveness, recognizing that government service is service to the People of Maine. Keep the Legislature and public informed on pertinent issues.

Be sure answers to questions on public policy are complete, understandable and true. Encourage staff to be courteous to everyone at all times. Devise a simple system to ensure that staff gives helpful and pleasant service to the public. Whenever possible, show citizens how to deal effectively in their relations with government.

Each citizen's questions should be answered as thoughtfully and as fully as possible. If you or your staff do not know the answer to a question, an effort should be made to obtain an answer or to help the citizen make direct contact with the appropriate office.

Part of serving the public responsibly is to encourage citizen cooperation and to involve civic groups. Administrators have responsibility to involve citizens with the government as far as practical, both to secure citizen support of government, and for the economies of increased effectiveness which may result. Respect the right of the public to be aware of the activities of your agency.

3. Strive for professional excellence and encourage the professional development of associates and those seeking to enter the field of public administration in order to provide effective and responsible government to the citizens of Maine. The primary role is to provide the best possible and most cost effective service to the citizens of Maine.

All employees should attend professional development meetings, read books and periodicals related to their field, and talk with specialists. The goal is to keep informed about the present and future issues and problems in a professional field and organization in order to take advantage of opportunities and avoid problems.

Serious mistakes in public administration have been made by people who did their jobs conscientiously but failed to look ahead for emerging problems and issues. State employees should be catalysts to stimulate discussion and reflection about improving efficiency and effectiveness of public services and involvement.

4. Approach organization and operational duties with a positive attitude and constructively support open communication, cooperation, creativity, dedication and compassion.

Americans expect government to be compassionate, well organized, and to operate within the law. Public employees should understand the purposes of their agencies and the roles they play in achieving those purposes. Dedication and creativity of staff members will flow from a sense of purpose.

Maine Government should strive to create a work environment which supports positive and constructive attitudes among workers at all levels. This open environment should permit employees to comment on work activities without fear of reprisal. In addition, managers can strengthen this open environment by establishing procedures ensuring thoughtful and objective review of employee concerns.

Supervisors should inform their staff that suggestions, complaints and constructive criticism may be brought to them without fear of reprisal. Employees should share these concerns and insights with their supervisor before making them public. Supervisors should be aware that employees have rights under the "Whistleblowers' Protection Act," under legislation regarding testimony provided by State employees to legislative committees, and other laws and judicial decisions. Public employees have a right to make public their criticism on matters of public concern, but it is their personal and professional responsibility not to misrepresent facts and to act in good faith. Employees need to make it clear when they are acting as an official representative of their organization and when they are exercising their rights as an individual.

5. Avoid any interest or activity which is in conflict with the conduct of official duties. Serve in a manner as to avoid inappropriate personal gain resulting from the performance of official duties.

Public employees should not undertake any task which is in conflict, or could be viewed as in conflict, with job responsibilities. This general statement addresses a fundamental principle that public employees are trustees for all the people. Actions or inactions which conflict with, injure, or destroy this foundation of trust between the people and State employees must be avoided.

Experience indicates that conflict of interest and corruption often arises as a result of interaction between persons who know each other very well. All public employees, especially those agencies with investigative or investigatory responsibilities, have a special obligation to reduce vulnerability to conflicts of interest.

Individuals holding a position recognized by law or regulation as an unclassified or political appointment have a special obligation to behave in ways which do not suggest that official acts are driven by partisan political concerns.

Public employees should remember that, despite whatever preventive steps they might take, situations which hold the possibility for conflict of interest will always emerge. Consequently, constant awareness of the potential for conflict of interest is important.

The gains sought from public employment should be salaries, fringe benefits, respect, and recognition for work. Personal gains may also include the pleasure of doing a good job, helping the public, and achieving career goals. No elected or appointed public official or employee should borrow or accept personal gifts from any person or entity which buys services from, or sells to, or is regulated by, his or her governmental agency. If work requires frequent contact with contractors supplying State government, one should be sure to pay for his or her own expenses. Public property, funds and power should never be directed toward personal or political gain. It should be made clear to every State employee that any use of public funds to benefit self, family, or friends will not be tolerated.

6. Respect and protect the privileged information to which we have access in the course of official duties.

Much information in public offices is privileged for reasons of security or statutes. In conversation with colleagues about privileged matters, be sure they need the information and enjoin them to secrecy. If the work is important enough to be confidential, learn and follow the rules set by the agency. Special care must be taken to secure access to confidential information stored on computers.

Where other governmental agencies have a legitimate public service need for information possessed by an agency, do all possible to cooperate within the limits of statute, administrative regulations and promises made to those who furnish the information.

7. Use discretionary authority to promote the public interest.

If work involves discretionary decisions, first secure policy guidelines from your supervisor. Then ensure that all staff who "need to know" are informed of these policies and have an opportunity to discuss the means of putting them into effect.

There are occasions when a law is unenforceable or has become obsolete. In such cases, recommend to your supervisor that the law be modernized. After consultation with the agency's legal advisor, the manager or highest official should determine the steps necessary to modernize or abolish the law.

Acknowledge frequently that every decision creates a precedent, so all decisions should be ethically sound. This is the best protection for staff as well as for the public.

8. Accept, as a personal duty, the responsibility to be informed of emerging issues and to administer the public's business with professional competence, fairness, impartiality, efficiency and effectiveness.

Staff members, throughout their careers, should be encouraged to participate in professional activities and associations. They should also be reminded of the importance of doing a good job and their responsibility to improve the public service.

9. Support, implement, and promote programs of affirmative action to assure equal opportunity in the recruitment, selection, and advancement of qualified persons from all elements of society.

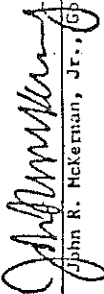
Oppose any discrimination based on race, color, religion, sex, marital status, ancestor or national origin, political affiliation, physical or mental handicaps, or age in all aspects of personnel policy. A person's lifestyle should not be the occasion for discrimination if it bears no reasonable relation to his or her ability to perform required tasks.

No form of harassment should be tolerated. All employees should be aware of and use appropriate channels to eliminate any form of harassment of which they become aware.

10. Respect and value the work done by all State employees.

Respect the worth and dignity of each individual member of the State Government organization. Through programs enhancing the quality of work life, strive to develop a more humane and caring organization.

The effective date of this Order is April 1, 1989.


John R. McKernan, Jr., Governor

Code of Ethics
Maine State Government

1. Be guided by the highest standards of honor, personal integrity, and fortitude in all public activities in order to merit the respect of other officials, employees and the public. Strive to inspire public confidence and trust in Maine State Government institutions.
2. Serve the State with respect, concern, courtesy, and responsiveness, recognizing that government service means service to the people of Maine; keep the Legislature and public informed on pertinent issues.
3. Strive for professional excellence and encourage the professional development of associates and those seeking to enter the field of public administration in order to provide effective and responsible government to the citizens of Maine. The primary role is to provide the best possible and most cost effective service to the citizens of Maine.
4. Approach organization and operational duties with a positive attitude and constructively support open communication, cooperation, creativity, dedication and compassion.
5. Avoid any interest or activity which is in conflict with the conduct of official duties. Serve in a manner as to avoid inappropriate personal gain resulting from the performance of official duties.
6. Respect and protect the privileged information to which there is access in the course of official duties.
7. Use discretionary authority to promote the public interest.
8. Accept as a personal duty the responsibility to be informed of emerging issues and to administer the public's business with professional competence, fairness, impartiality, efficiency and effectiveness.
9. Support, implement, and promote programs of affirmative action to assure equal opportunity in the recruitment, selection, and advancement of qualified persons from all elements of society.
10. Respect and value the work done by all State employees.

CAMPAIGN LAWN SIGNS

Singles 14" X 22" or 11" X 28"

QUANTITY	100	150	200	250	300	400
1 Color #15089	\$237.95	\$272.95	\$310.95	\$340.95	\$402.95	\$462.95
2 Color #15090	\$323.95	\$358.95	\$392.95	\$424.95	\$499.95	\$575.95

Foldover 22" X 28"

(Scoring charge included in pricing)

QUANTITY	100	150	200	250	300	400
1 Color #15091	\$375.49	\$468.49	\$527.49	\$635.49	\$665.49	\$806.49
2 Color #15092	\$457.49	\$565.49	\$640.49	\$769.49	\$829.49	\$967.49

- Weather Resistant - Polyethylene Plastic Coated on Both Sides
- Clear, Sharp Screen Printing
- Positive or Reverse at No Extra Charge
- Extensive or Complex Artwork May Require an Art Charge
- Specify Horizontal or Vertical Position
- Heavy 24 Point White Stock
- Foldover Posters Easily Convert to Yard Signs by Folding on the Score

FOLDOVER SIGN HOLDERS

Size	New
14x22	\$1.29 ea
11x28	\$1.49 ea

BUSINESS CARDS

Size: 3.5 x 2

80# Uncoated or Glossy Cover Stock

☆☆ 1 SIDED ☆☆

QUANTITY	500	1000	2000	5000
1 Color #15045	\$130.49	\$145.49	\$174.49	\$217.49
2 Color #15047	\$162.95	\$179.95	\$211.95	\$264.95

☆☆ 2 SIDED ☆☆

QUANTITY	500	1000	2000	5000
1 Color #15046	\$165.49	\$184.49	\$222.49	\$269.49
2 Color #15048	\$199.95	\$217.95	\$251.95	\$299.95

BUMPER STICKERS

Size: 11.5 x 3

QUANTITY	125	250	500	1000
1 Color #15093	\$162.49	\$195.49	\$280.49	\$357.49
2 Color #15094	\$246.99	\$292.99	\$364.99	\$487.99

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TEL (207) 945-9311 • FAX (207) 942-9914

irv@bangorlettershop.com • www.bangorlettershop.com

Prices are effective for orders placed through June 8, 2010

Bangor Letter Shop



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TEL (207) 945-9311 • FAX (207) 942-9914 • irv@bangorlettershop.com

★★ CAMPAIGN 2010: Printing & Mailing Pricing ★★

FLYERS

Size: 8.5 x 11 • Stock: 60# White or Color Text
Printed 2-Sides

QUANTITY	1000	2000	3000	4000	5000
1 Color #15027	\$161.29	\$193.49	\$226.49	\$268.49	\$315.49
2 Color #15026	\$188.95	\$227.95	\$263.95	\$309.95	\$355.95

PALM CARDS

Size: 8.5 x 3.3 • White Glossy or Uncoated Stock
Printed 2 Sides

QUANTITY	1000	2000	3000	4000
1 Color #14910	\$177.49	\$223.49	\$268.45	\$311.49
2 Color #14983	\$199.95	\$247.95	\$295.95	\$341.95

OVERSIZED POSTCARDS

Size 5.5 x 8.5 • White Glossy or Uncoated Card Stock • Printed 2-Sides

QUANTITY	1000	2000	3000	4000	5000
1 Color #15024	\$205.49	\$272.49	\$339.49	\$405.49	\$472.49
2 Color #15025	\$232.95	\$303.95	\$373.95	\$444.95	\$514.95

Mailing costs are separate - please see below

1/4 SHEET

PERSONALIZED POSTCARDS (Clincher Cards)

QUANTITY	1000	2000	3000
1 Color #14287	\$187.49	\$235.49	\$284.49
2 Color #14986	\$214.95	\$263.95	\$318.95

VOTER QUESTIONNAIRE OR SURVEY RESULTS

Size: 8.5x14 • Stock: 60# White or Colored Text • Printed 2-Sides & Folded

QUANTITY	1000	2000	3000	4000	5000
1 Color #14286	\$238.49	\$283.49	\$327.49	\$371.49	\$416.49
2 Color #14985	\$272.95	\$319.95	\$366.95	\$413.95	\$460.95

Mailing costs are separate - please see below

QUANTITY	4000	5000
1 Color #14287	\$332.49	\$380.49
2 Color #14986	\$370.95	\$420.95

Size 4.25 x 5.5

White Coated or Uncoated Card Stock
Printed 2-Sides

SATURATION MAILING: 24.4¢/PIECE PROCESSING & POSTAGE

- Includes assembling addresses for saturation of households in a legislative district (one piece per maildrop), addressing, sorting, delivering to Post Office and paying postage (tabbing of mailpieces not recommended nor required).
- In some special cases where legislative districts and mail routes do not adequately align (within municipality), use of a digital voter list may be preferable. This type of mailing usually has a slightly higher postage rate but a fewer number of pieces are mailed. Consultation with staff and/or candidate regarding coverage and costs is customary.
- Whenever digital voter lists are used, the alternative to mailing one piece to each voter is to utilize a duplicate detect by address so that only one piece is mailed per address or per family name at each address.

CAMPAIGN LAWN SIGNS

Singles 14" X 22" or 11" X 28"

QUANTITY	100	150	200	250	300	400
1 Color #15089	\$288.75	\$292.50	\$296.75	\$327.50	\$367.50	\$445.00
2 Color #15090	\$311.25	\$345.00	\$377.50	\$408.75	\$481.25	\$552.75

- Weather Resistant - Polyethylene Plastic Coated on Both Sides
- Clear, Sharp Screen Printing
- Positive or Reverse at No Extra Charge
- Extensive or Complex Artwork May Require an Art Charge
- Specify Horizontal or Vertical Position
- Heavy 24 Point White Stock

Foldover 22" X 28"

(Scoring charge included in pricing)

QUANTITY	100	150	200	250	300	400
1 Color #15091	\$361.25	\$450.00	\$507.50	\$611.25	\$640.00	\$775.00
2 Color #15092	\$440.00	\$545.75	\$616.25	\$740.00	\$797.50	\$930.00

- Foldover Posters Easily Convert to Yard Signs by Folding on the Score

FOLDOVER SIGN HOLDERS

SIZE	NEW	USED
14x22	\$1.00 ea	NA
11x28	\$1.00 ea	\$0.80 ea

1/4 SHEET PERSONALIZED POSTCARDS (Clutcher Cards)

QUANTITY	1000	2000	3000	4000	5000
1 Color #14287	\$210.49	\$253.49	\$316.49	\$274.49	\$423.49
2 Color #14986	\$231.95	\$280.95	\$341.95	\$402.95	\$450.95

Size 4.25 x 5.5
White Coated or Uncoated Card Stock • Printed 2-Sides

Postcards require 21¢ postage, as of May 14 2008, on each card to mail individually with personal notes from door-to-door campaigning. Cards can be mailed at a slightly lower postage rate with pre-printed note rather than personal notes. See other postage / mailing information on reverse side.

BUMPER STICKERS

Size 11.5 x 3

QUANTITY	125	250	500	1000
1 Color #15093	\$158.25	\$187.50	\$270.00	\$343.75
2 Color #15094	\$237.50	\$281.25	\$350.00	\$458.75

Bangor Letter Shop

COLOR COPY CENTER

Penobscot Plaza • 99 Washington Street
Bangor, Maine 04401-6518
TEL (207) 945-9311 • FAX (207) 942-9914
lvdbangorlettershop.com • www.bangorlettershop.com

CAMPAIGN LAWN SIGNS

Singles 14" X 22" or 11" X 28"

QUANTITY	100	150	200	250	300	400
1 Color #15089	\$237.95	\$272.95	\$310.95	\$340.95	\$402.95	\$462.95
2 Color #15090	\$223.95	\$258.95	\$292.95	\$424.95	\$499.95	\$575.95

- Weather Resistant - Polyethylene Plastic Coated on Both Sides
- Clear, Sharp Screen Printing
- Positive or Reverse at No Extra Charge
- Extensive or Complex Artwork May Require an Art Charge
- Specify Horizontal or Vertical Position
- Heavy 24 Point White Stock

Foldover 22" X 28"

(Scoring charge included in pricing)

QUANTITY	100	150	200	250	300	400
1 Color #15091	\$375.49	\$468.49	\$527.49	\$635.49	\$665.49	\$806.49
2 Color #15092	\$457.49	\$555.49	\$640.49	\$769.49	\$829.49	\$967.49

- Foldover Posters Easily Convert to Yard Signs by Folding on the Score

FOLDOVER SIGN HOLDERS

SIZE	NEW
14x22	\$1.29 ea
11x28	\$1.49 ea

BUSINESS CARDS

Size 3.5 x 2
80# Uncoated or Glossy Cover Stock

☆☆ 1 SIDED ☆☆

QUANTITY	500	1000	2000	5000
1 Color #15045	\$130.49	\$145.49	\$174.49	\$217.49
2 Color #15047	\$182.95	\$179.95	\$211.95	\$264.95

☆☆ 2 SIDED ☆☆

QUANTITY	500	1000	2000	5000
1 Color #15046	\$165.49	\$184.49	\$222.49	\$289.49
2 Color #15048	\$199.95	\$217.95	\$251.95	\$299.95

BUMPER STICKERS

Size 11.5 x 3

QUANTITY	125	250	500	1000
1 Color #15093	\$162.49	\$195.49	\$280.49	\$357.49
2 Color #15094	\$246.99	\$292.99	\$364.99	\$487.99

Bangor Letter Shop

COLOR COPY CENTER

Penobscot Plaza • 99 Washington Street
Bangor, Maine 04401-6518
TEL (207) 945-9311 • FAX (207) 942-9914
lvdbangorlettershop.com • www.bangorlettershop.com

Prices are effective for orders placed through June 4 2010.



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

February 11, 2011

Joseph and Michelle Greenier
104 Muskrat Farm Road
Stockton Springs, ME 04981

Dear Mr. and Mrs. Greenier:

This is in response to your complaint against 2010 Senate candidate Roger J. Katz, which was faxed to our office today. The Commission staff understands that you are accusing the Katz campaign of "serious violations."

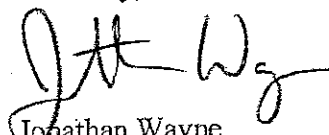
The complaint is missing two important elements:

- The complaint does not provide the Commission or Senator Katz with any idea of the violation which you believed occurred.
- The complaint does not provide the Commission or Senator Katz with any idea of the source of information or factual basis for believing that a violation occurred.

It is only fair that if you are filing a complaint against a candidate, you provide him or her with some idea of what the violation is and what is your basis for believing that there was a violation. This is also required by Chapter 1, Section 4(2)(C) of the Commission's Rules (attached). In the view of the Commission staff, the complaint contains "insufficient detail to specify the violation charged." Therefore, pursuant to Chapter 1, Section 4(2)(D), I am notifying you that your complaint does not meet the requirements for an official request for an investigation.

If you believe a violation occurred, please submit an amended complaint that specifies the law or requirement which you believe was violated and the basis for your belief. Then, the Commission staff will consider and recommend to the Commissioners whether there is any basis for an investigation. Thank you.

Sincerely,


Jonathan Wayne
Executive Director

cc: Hon. Roger J. Katz

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775

2. Election Campaign Reporting and Maine Clean Election Act Violations

- A. **Report Review.** The Commission staff will review all reports filed pursuant to 21-A M.R.S.A., chapters 13 and 14 to verify compliance with the reporting requirements set by statute or rule. Notice of any omission, error, or violation will be given by mail to the filer and a copy of the notice and any other communication made to or from the filer relating to the problem(s) will be placed in the filer's record. The Commission staff will establish a reasonable time period for the filer to remedy any omission or error. If the filer fails to respond within that time frame, the Commission staff may extend the time period within which the filer must comply or place the matter on the agenda of the next Commission meeting, along with all documents relating to the case. Additionally, any apparent violations or occurrences of substantial nonconformance with the requirements of the law will be placed on the agenda of the next meeting.
- B. **Late Reports and Registrations.** Where required by statute, notice of failure to file a required report will be timely sent by Commission staff. When a report or registration is filed late, the Director's recommendations will be based on the following considerations:
- (1) Lateness of report or registration,
 - (2) Reason for lateness,
 - (3) Kind of report (more stringent application for pre-election reports),
 - (4) Amount of campaign funds not properly reported,
 - (5) Previous record of the filer,
 - (6) Good faith effort of the filer to remedy the matter, and
 - (7) Whether the late filing had an effect on a certified candidate's eligibility for matching funds.
- C. Reports of noncompliance with the provisions of the campaign registration and reporting laws or the Maine Clean Election Act that may come to the attention of the Commission staff from any source other than review of the reports filed will be reported to the Commission Chair. Any person (as defined in 21-A M.R.S.A. §1001) may make an official request for a Commission investigation or determination by filing a written request at the Commission's office, setting forth such facts with sufficient details as are necessary to specify the alleged violation. Statements should be made upon personal knowledge. Statements which are not based upon personal knowledge must identify the source of the information which is the basis for the request, so that respondents and Commission staff may adequately respond to the request. A copy of any such written request will be promptly mailed to the candidate or organization alleged to have violated the statutory requirements. An official request will be placed on the agenda of the next Commission meeting.
- D. An oral report of a violation, or a written request containing insufficient detail to specify the violation charged, does not constitute an official request for a

Commission determination, and a person registering such a complaint will be so notified.

- E. The signature of a person authorized to sign a report or form constitutes certification by that person of the completeness and accuracy of the information reported. The use of a password in filing an electronic report constitutes certification of the completeness and accuracy of the report.

3. **Lobbyist Disclosure Procedures**

- A. **Report Review.** The Commission staff will monitor all filings made pursuant to 3 M.R.S.A. §311 *et seq.* for timeliness, legibility, and completeness. The staff will send the lobbyist a notice of any apparent reporting deficiency, including failure to use prescribed forms. The notice will include a request that the deficiency be corrected within 15 business days of the notice. If remedy is not made, it will be noted on the agenda of the next Commission meeting. The Commission may reject reports that are incomplete or illegible.
- B. **Late Registrations and Reports.** Notice will be given by mail to any lobbyist whose registration, monthly disclosure report, or annual report is delinquent. In the case of a late monthly report, the notice must be mailed within 7 business days following the filing deadline for the report. In the case of late annual reports and registrations, the notice must be mailed within 15 business days following the filing deadline. The notice must include a statement specifying the amount assessed. A penalty of \$100 will be assessed the lobbyist for every month that a monthly disclosure report is late and a penalty of \$200 will be assessed the lobbyist and employer for every month a registration or annual report is filed late. For purposes of 3 M.R.S.A. §319(1), the month will end on the 15th day of the month following the month in which a report was due. Any failure to submit a required report, registration, or penalty fee will be noted on the Commission agenda.
- C. **Suspensions.** The Commission may suspend any person from lobbying who fails to file a required report or pay an assessed fee. A notice of the suspension must be mailed to the lobbyist by U.S. Certified Mail within three days following the suspension. Reinstatement will occur on the date the required report or payment is received in the Commission office. A notice of the reinstatement must be mailed to the lobbyist by U.S. Certified Mail or given directly to the lobbyist within three days following receipt of the required report or payment.
- D. **Request for Penalty Waiver.** A lobbyist may request a waiver of any late penalty the lobbyist incurs. The request must be made in writing to the Commission and must state the reason for the delinquency. Any such request must be noted on the agenda of the next Commission meeting. Only the Commission may grant penalty waivers.



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

March 2, 2011

By Fax and Regular Mail

Joseph and Michelle Greenier
104 Muskrat Farm Road
Stockton Springs, ME 04981

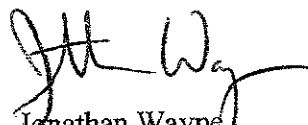
Dear Mr. and Mrs. Greenier:

The Commission staff has gathered preliminary factual information concerning the allegations in your February 10, 14, and 17 letters about a purchase by the 2010 Katz campaign from Modern Screen Print.

This matter will be placed on the next meeting of the Commission, which will be Thursday, March 31 at 9:00 a.m. The meeting will be held at the Commission's office at 45 Memorial Circle.

Your letter of last night concerning your Freedom of Access Act request suggests that you are continuing to gather information which you believe is relevant. **If you have any additional information that you wish the Commission to consider, I need to receive it no later than Thursday, March 10, 2011.** Otherwise, it will not be considered by the Commissioners at their March 31 meeting.

Sincerely,


Jonathan Wayne
Executive Director

cp

cc: Hon. Roger J. Katz